

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Disclaimer:
 The information contained in this table does not necessarily reflect precisely the actual legislative situation as this is always subject to changes and political validation. Neither the European Union institutions and bodies nor any person acting on their behalf may be held responsible for the

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3 (1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) 201x/xxxx amending certain international accounting standards - IAS1 & IAS8 - Def. Materiality				2019
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3 (1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) 201x/xxxx amending certain international accounting standards - IFRS 3 - Definition of a business				2019
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No .../... adopting certain international accounting standards - Amendments to References to the Conceptual Framework in the IFRS				2019
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No .../2018 adopting certain international accounting standards - Annual Improvements IFRS 2015-2017 Cycle	C(2019) 1921	OJ L 73, 15.03.2019, p. 93-97	14-03-19	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No adopting certain international accounting standards - IFRS 14 Deferral Accounts				
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3 (1)	RPS	DDG.C.1	Commission Regulation (EU) adopting certain international accounting – IAS 19	C/2019/1888	OJ L 72, 14.03.2019, p. 6-10	13-03-19	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3 (1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) 2019/237 adopting certain international accounting standards - amendments to IAS 28	C(2019) 808	OJ L 39, 11.02.2019, p. 1-3	08-02-19	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	Regulation (EU) 2018/498 of 22 March 2018 amending Regulation (EC) No 1126/2008 - Amendment to IFRS 9	C(2018)1623	OJ L 82, 26.03.2018, p. 3-7	22-03-18	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	Commission Regulation (EU) 2018/1595 amending Regulation (EC) No 1126/2008 adopting certain international accounting standards - IFRIC 23	C(2018)6837	OJ L 265, 24.10.2018, p. 3-8	23-10-18	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No .../... adopting certain international accounting standards - IFRS 17	C(2017)7098	OJ L 291, 09.11.2017, p. 72-83		
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	Commission Regulation (EU) 2018/182 amending Regulation (EC) No 1126/2008 adopting certain international accounting standards - IAS 28 and IFRS 1 and 12	C(2018)602	OJ L 34, 08.02.2018, p. 1-5	07-02-18	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	Regulation (EU) 2018/400 adopting certain international accounting standards - amendments to IAS 40	C(2018) 1405	OJ L 72, 15.03.2018, p. 13-16	14-03-18	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	Commission Regulation (EU) 2018/519 amending Regulation (EC) No 1126/2008 adopting certain international accounting standards - IFRIC 22	C(2018)1733	OJ L 87, 03.04.2018, p. 3-8	28-03-18	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No 313/2013 adopting certain international accounting standards - amendments to IFRS 10, IFRS 11, IFRS 12		OJ L 95, 05.04.2013, p. 9-16	04-04-13	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) 2017/1988 adopting certain international accounting standards - amendments to IFRS 4	C(2017) 7098	OJ L 291, 09.11.2017, p. 72-83	03-11-17	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	Commission Regulation (EU) 2018/289 of 26 February 2018 amending Regulation (EC) No 1126/2008 - (IFRS) 2 'Share-based Payment'	C(2018)1020	OJ L 55, 27.02.2018, p. 21-26	26-02-18	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) 2017/1987 adopting certain international accounting standards - amendments to IFRS 15	C(2017) 7107	OJ L 291, 09.11.2017, p. 63-71	31-10-17	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) 2017/1990 adopting certain international accounting standards - amendments to IAS 7	C(2017) 7261	OJ L 291, 09.11.2017, p. 89-91	06-11-17	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) 2017/1986 adopting certain international accounting standards - IFRS 16	C(2017) 7104	OJ L 291, 09.11.2017, p. 1-62	31-10-17	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) 2017/1989 adopting certain international accounting standards - amendments to IAS 12	C(2017) 7103	OJ L 291, 09.11.2017, p. 84-88	06-11-17	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) 2015/2343 adopting certain international accounting standards - IFRS 5, IFRS 7, IAS 19, IAS 34	C(2015) 8932	OJ L 330, 16.12.2015, p. 20-25	15-12-15	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) 2016/1703 adopting certain international accounting standards - IFRS 10, IFRS 12, IAS 28		OJ L 257, 23.09.2016, p. 1-7	22-09-16	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) 2015/2113 adopting certain international accounting standards - IAS 16, IAS 41		OJ L 306, 24.11.2015, p. 7-14	23-11-15	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) 2015/2406 adopting certain international accounting standards - IAS 1		OJ L 333, 19.12.2015, p. 97-103	18-12-15	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) 2015/2441 adopting certain international accounting standards - IAS 27		OJ L 336, 23.12.2015, p. 49-53	18-12-15	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No 2016/2067 adopting certain international accounting standards - IFRS 9	C(2016) 7445	OJ L 323, 29.11.2016, p. 1-164	22-11-16	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No .../... adopting certain international accounting standards - amendments to IFRS 10, IAS 28				
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No 2016/1905 adopting certain international accounting standards - IFRS 15	C(2016) 5906	OJ L 295, 29.10.2016, p. 19-73	22-09-16	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) 2015/2173 adopting certain international accounting standards - IFRS 11		OJ L 307, 25.11.2015, p. 11-16	24-11-15	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) 2015/2231 adopting certain international accounting standards - amendments to IAS 16, IAS 38		OJ L 317, 03.12.2015, p. 19-23	02-12-15	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) 2015/28 adopting certain international accounting standards as regards - Improvements to IFRS, 2010-2012 Cycle		OJ L 5, 09.01.2015, p. 1-10	17-12-14	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No 1361/2014 adopting certain international accounting standards - Improvements to IFRSs, 2011-2013 Cycle		OJ L 365, 19.12.2014, p. 120-123	18-12-14	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) 2015/29 adopting certain international accounting standards - IAS 19		OJ L 5, 09.01.2015, p. 11-13	17-12-14	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No 634/2014 adopting certain international accounting standards - IFRIC 21		OJ L 175, 14.06.2014, p. 9-13	13-06-14	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EC) No 839/2009 adopting certain international accounting standards - IAS 39		OJ L 244, 16.09.2009, p. 6-9	15-09-09	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No 1254/2012 adopting certain international accounting standards - IFRS 10, IFRS 11, IFRS 12, IAS 27 (2011), IAS 28 (2011)		OJ L 360, 29.12.2012, p. 1-77	11-12-12	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EC) No 70/2009 adopting certain international accounting standards - Improvements to IFRSs		OJ L 21, 24.01.2009, p. 16-37	23-01-09	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EC) No 53/2009 adopting certain international accounting standards - IAS 32, IAS 1		OJ L 17, 22.01.2009, p. 23-36	21-01-09	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No 632/2010 adopting certain international accounting standards - IAS 24, IFRS 8		OJ L 186, 20.07.2010, p. 1-9	19-07-10	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No 574/2010 adopting certain international accounting standards - IFRS 1, IFRS 7		OJ L 166, 01.07.2010, p. 6-8	30-06-10	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No 183/2013 adopting certain international accounting standards - IFRS 1		OJ L 61, 05.03.2013, p. 6-8	04-03-13	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No 1255/2012 adopting certain international accounting standards - IAS 12, IFRS 1, IFRS 13, IFRIC 20		OJ L 360, 29.12.2012, p. 78-144	11-12-12	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No 301/2013 adopting certain international accounting standards - Improvements to IFRS, 2009-2011 Cycle		OJ L 90, 28.03.2013, p. 78-85	27-03-13	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No 550/2010 adopting certain international accounting standards - IFRS 1		OJ L 157, 24.06.2010, p. 3-6	23-06-10	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EC) No 1263/2008 adopting certain international accounting standards - IFRIC 14		OJ L 338, 17.12.2008, p. 25-30	16-12-08	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EC) No 1260/2008 adopting certain international accounting standards - IAS 23		OJ L 338, 17.12.2008, p. 10-16	10-12-08	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No 243/2010 adopting certain international accounting standards - Improvements to IFRSs		OJ L 77, 24.03.2010, p. 33-41	23-03-10	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EC) No 1165/2009 adopting certain international accounting standards - IFRS 4, IFRS 7		OJ L 314, 01.12.2009, p. 21-26	27-11-09	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No 475/2012 adopting certain international accounting standards - IAS 1, IAS 19		OJ L 146, 06.06.2012, p. 1-41	05-06-12	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No 407/2013 correcting ES and SE versions of Regulation 475/2012 adopting certain international accounting standards - IAS 1, IAS 19		OJ L 121, 03.05.2013, p. 44-44	23-04-13	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EC) No 1142/2009 adopting certain international accounting standards - IFRIC 17		OJ L 312, 27.11.2009, p. 8-13	26-11-09	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EC) No 69/2009 adopting certain international accounting standards - IFRS 1, IAS 27		OJ L 21, 24.01.2009, p. 10-15	23-01-09	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No 1293/2009 adopting certain international accounting standards - IAS 32		OJ L 347, 24.12.2009, p. 23-25	23-12-09	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EC) No 824/2009 adopting certain international accounting standards - IAS 39, IFRS 7		OJ L 239, 10.09.2009, p. 48-50	09-09-09	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No 1375/2013 adopting certain international accounting standards - IAS 39		OJ L 346, 20.12.2013, p. 42-46	19-12-13	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EC) No 1262/2008 adopting certain international accounting standards - IFRIC 13		OJ L 338, 17.12.2008, p. 21-24	16-12-08	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No 633/2010 adopting certain international accounting standards - IFRIC 14		OJ L 186, 20.07.2010, p. 10-13	19-07-10	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No 1374/2013 adopting certain international accounting standards - IAS 36		OJ L 346, 20.12.2013, p. 38-41	19-12-13	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EC) No 460/2009 adopting certain international accounting standards - IFRIC 16		OJ L 139, 05.06.2009, p. 6-14	04-06-09	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EC) No 254/2009 adopting certain international accounting standards - IFRIC 12		OJ L 80, 26.03.2009, p. 5-13	25-03-09	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EC) No 495/2009 adopting certain international accounting standards - IFRS 3		OJ L 149, 12.06.2009, p. 22-59	03-06-09	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No 1256/2012 adopting certain international accounting standards - IFRS 7, IAS 32		OJ L 360, 29.12.2012, p. 145-152	13-12-12	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EC) No 1126/2008 adopting certain international accounting standards		OJ L 320, 29.11.2008, p. 1-481	03-11-08	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No 149/2011 adopting certain international accounting standards - Improvements to IFRSs		OJ L 46, 19.02.2011, p. 1-13	18-02-11	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EC) No 636/2009 adopting certain international accounting standards - IFRIC 15		OJ L 191, 23.07.2009, p. 5-9	22-07-09	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EC) No 1261/2008 adopting certain international accounting standards - IFRS 2		OJ L 338, 17.12.2008, p. 17-20	16-12-08	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No 244/2010 adopting certain international accounting standards - IFRS 2		OJ L 77, 24.03.2010, p. 42-49	23-03-10	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EC) No 1171/2009 adopting certain international accounting standards - IFRIC 9, IAS 39		OJ L 314, 01.12.2009, p. 43-46	30-11-09	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EC) No 1164/2009 adopting certain international accounting standards - IFRIC 18		OJ L 314, 01.12.2009, p. 15-20	27-11-09	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No 1174/2013 adopting certain international accounting standards - IFRS 10, IFRS 12, IAS 27		OJ L 312, 21.11.2013, p. 1-17	20-11-13	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No 1205/2011 adopting certain international accounting standards - IFRS 7		OJ L 305, 23.11.2011, p. 16-22	22-11-11	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EC) No 1136/2009 adopting certain international accounting standards - IFRS 1		OJ L 311, 26.11.2009, p. 6-20	25-11-09	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EC) No 1274/2008 adopting certain international accounting standards - IAS 1		OJ L 339, 18.12.2008, p. 3-44	17-12-08	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EU) No 662/2010 adopting certain international accounting standards - IFRIC 19, IFRS 1		OJ L 193, 24.07.2010, p. 1-5	23-07-10	
REG	(EC) No 1606/2002	Regulation (EC) No 1606/2002 on the application of international accounting standards - IAS Regulation	Art. 3(1)	RPS	DDG.C.1	COMMISSION REGULATION (EC) No 494/2009 adopting certain international accounting standards - IAS 27		OJ L 149, 12.06.2009, p. 6-21	03-06-09	
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	() Art. 5 (6)	IA	DDG.C.1	Commission Implementing Decision repealing the recognition of the legal and supervisory framework of Canada	C(2019)5801		29-07-19	
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	() Art. 5 (6)	IA	DDG.C.1	Commission Implementing Decision repealing the recognition of the legal and supervisory framework of Singapore	C(2019)5802		29-07-19	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	() Art. 5 (6)	IA	DDG.C.1	Commission Implementing Decision repealing the recognition of the legal and supervisory framework of Brazil	C(2019)5805		29-07-19	
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	() Art. 5 (6)	IA	DDG.C.1	Commission Implementing Decision repealing the recognition of the legal and supervisory framework of Australia	C(2019)5800		29-07-19	
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	() Art. 5 (6)	IA	DDG.C.1	Commission Implementing Decision repealing the recognition of the legal and supervisory framework of Argentina	C(2019)5806		29-07-19	
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	() Art. 5 (6)	IA	DDG.C.1	Commission Implementing Decision on the recognition of the legal and supervisory framework of Hong Kong	C(2019)5808		29-07-19	
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	() Art. 5 (6)	IA	DDG.C.1	Commission Implementing Decision on the recognition of the legal and supervisory framework of United States of America	C(2019)5803		29-07-19	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	() Art. 5 (6)	IA	DDG.C.1	Commission Implementing Decision on the recognition of the legal and supervisory framework of Mexico	C(2019)5804		29-07-19	
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	() Art. 5 (6)	IA	DDG.C.1	Commission Implementing Decision on the recognition of the legal and supervisory framework of Japan	C(2019)5807		29-07-19	
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	() Art. 5 (6)	IA	DDG.C.1	2014/249/EU: Commission Implementing Decision on the recognition of the legal and supervisory framework of Hong Kong as equivalent to the requirements of Reg.(EC)1060/2009 on credit rating agencies	C(2014) 2637	OJ L 132, 03.05.2014, p. 76-78	28-04-14	
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	() Art. 5 (6)	IA	DDG.C.1	2014/245/EU: Commission Implementing Decision on the recognition of the legal and supervisory framework of Brazil as equivalent to the requirements of Reg. (EC)1060/2009 on credit rating agencies	C(2014) 2623	OJ L 132, 03.05.2014, p. 65-67	28-04-14	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	() Art. 5 (6)	IA	DDG.C.1	2014/246/EU: Commission Implementing Decision on the recognition of the legal and supervisory framework of Argentina as equivalent to the requirements of Reg.(EC) 1060/2009 on credit rating agencies	C(2014) 2624	OJ L 132, 03.05.2014, p. 68-70	28-04-14	
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	() Art. 5 (6)	IA	DDG.C.1	Dec. 2012/628/EU - Equivalence US		OJ L 274, 09.10.2012, p. 32-33	05-10-12	
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	() Art. 5 (6)	IA	DDG.C.1	Dec. 2012/630/EU - Equivalence Canada		OJ L 278, 12.10.2012, p. 17-18	05-10-12	
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	() Art. 5 (6)	IA	DDG.C.1	Dec. 2012/627/EU - Equivalence Australia		OJ L 274, 09.10.2012, p. 30-31	05-10-12	
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	Art. 21(4)b, Art. 21(4)a	RTS	DDG.C.1	Del. Reg. (EU)449/2012 - Information to be provided for CRA registration and certification		OJ L 140, 30.05.2012, p. 32-52	21-03-12	
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	Art. 21(4)e	RTS	DDG.C.1	Del. Reg. (EU)446/2012 - Content and format of ratings data to be submitted by CRAs to ESMA (SOCRATES)		OJ L 140, 30.05.2012, p. 2-13	21-03-12	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	Art. 21(4)c	RTS	DDG.C.1	Del. Reg. (EU)448/2012 - Central Repository (CEREP)		OJ L 140, 30.05.2012, p. 17-31	21-03-12	
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	Art. 21(4)d	RTS	DDG.C.1	Del. Reg. (EU)447/2012 - Assessment of compliance of methodologies		OJ L 140, 30.05.2012, p. 14-16	21-03-12	
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	Art. 8(b)3	RTS	DDG.C.1	Delegated Regulation (EU)2015/3 on disclosure requirements for structured finance instruments	C(2014) 6939	OJ L 2, 06.01.2015, p. 57-119	30-09-14	
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	Art. 21.4(a)a	RTS	DDG.C.1	Delegated Regulation (EU)2015/2 on the presentation of the information that credit rating agencies make available to ESMA	C(2014) 6840	OJ L 2, 06.01.2015, p. 24-56	30-09-14	
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	Art. 21.4(a)b	RTS	DDG.C.1	Delegated Regulation (EU) 2015/1 on the periodic reporting on fees charged by credit rating agencies for the purpose of ongoing supervision by ESMA	C(2014) 6871	OJ L 2, 06.01.2015, p. 1-23	01-08-19	
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	Art. 37()	DA	DDG.C.1	DA to amend the Annexes				
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	Art. 5(6)3	DA	DDG.C.1	DA to amend or further specify criteria for equivalence				

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	Art. 23e(7)	DA	DDG.C.1	Del. Reg. (EU)946/2012 - Rules of procedure on fines imposed on CRAs by ESMA		OJ L 282, 16.10.2012, p. 23-26	12-07-12	
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	Art. 19(2)	DA	DDG.C.1	Regulation (EU) 272/2012 - Fees charged by ESMA		OJ L 90, 28.03.2012, p. 6-10	07-02-12	
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	() Art. 2 (4)	IA	DDG.C.1	Commission Decision 2010/342/EU exempting the Banque de France from the application of Regulation (EC) No 1060/2009 on credit rating agencies		OJ L 154, 19.06.2010, p. 29-30	18-06-10	
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	() Art. 5 (6)	IA	DDG.C.1	2014/248/EU: Commission Implementing Decision on the recognition of the legal and supervisory framework of Singapore as equivalent to the requirements of Reg. (EC)1060/2009 on credit rating agencies	C(2014) 2630	OJ L 132, 03.05.2014, p. 73-75	28-04-14	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	() Art. 5 (6)	IA	DDG.C.1	2014/247/EU: Commission Implementing Decision on the recognition of the legal and supervisory framework of Mexico as equivalent to the requirements of Reg. (EC) 1060/2009 on credit rating agencies	C(2014) 2627	OJ L 132, 03.05.2014, p. 71-72	28-04-14	
REG	(EC)1060/2009	Regulation (EC) n° 1060/2009 on credit rating agencies, as amended by Regulation (EU) n° 462/2013 (CRAs)	() Art. 5 (6)	IA	DDG.C.1	Decision - 2010/578/EU - Equivalence Japan		OJ L 254, 29.09.2010, p. 46-47	28-09-10	
REG	(EU) 2015/2365	Regulation (EU)2015/2365 on transparency of securities financing transactions and of reuse	Art. 2 (4)	DA	DDG.C.2	Delegated Act on central bank exemption - SFTR	C(2019)794	OJ L 80, 22.03.2019, p. 16-17	30-01-19	
REG	(EU) 2015/2365	Regulation (EU)2015/2365 on transparency of securities financing transactions and of reuse	Art. 12(3)	RTS	DDG.C.2	RTS on access levels under SFTR	C(2018)8330	OJ L 81, 22.03.2019, p. 22-29	13-12-18	
REG	(EU) 2015/2365	Regulation (EU)2015/2365 on transparency of securities financing transactions and of reuse	Art. 25(4)	ITS	DDG.C.2	Implementing Technical Standard on exchange of information	C(2018)7659	OJ L 81, 22.03.2019, p. 128-133	13-12-18	
REG	(EU) 2015/2365	Regulation (EU)2015/2365 on transparency of securities financing transactions and of reuse	Art. 12(3)	RTS	DDG.C.2	Regulatory Technical Standard on transparency and availability of data held in a trade repository	C(2018)8332	OJ L 81, 22.03.2019, p. 30-44	13-12-18	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) 2015/2365	Regulation (EU)2015/2365 on transparency of securities financing transactions and of reuse	Art. 11(2)	DA	DDG.C.2	Delegated Act on supervisory fees	C(2018)8333		13-12-18	
REG	(EU) 2015/2365	Regulation (EU)2015/2365 on transparency of securities financing transactions and of reuse	Art. 5(8)	ITS	DDG.C.2	Implementing Technical Standard specifying the details of the application for registration as a trade repository	C(2018)7657	OJ L 81, 22.03.2019, p. 125-127	13-12-18	
REG	(EU) 2015/2365	Regulation (EU)2015/2365 on transparency of securities financing transactions and of reuse	Art. 5(7)	RTS	DDG.C.2	Regulatory Technical Standard specifying the details of the application for registration as a trade repository	C(2018)8331	OJ L 81, 22.03.2019, p. 45-57	13-12-18	
REG	(EU) 2015/2365	Regulation (EU)2015/2365 on transparency of securities financing transactions and of reuse	Art. 4(10), Art. 9(6)(EMIR)	ITS	DDG.C.2	Implementing Technical Standard on the minimum details of the data to be reported to trade repositories	C(2018)7658	OJ L 81, 22.03.2019, p. 85-124	13-12-18	
REG	(EU) 2015/2365	Regulation (EU)2015/2365 on transparency of securities financing transactions and of reuse	Art. 4(9)	RTS	DDG.C.2	Regulatory Technical Standard on harmonisation details of the data to be reported to trade repositories	C(2018)8334	OJ L 81, 22.03.2019, p. 1-21	13-12-18	
REG	(EU) 2015/2365	Regulation (EU)2015/2365 on transparency of securities financing transactions and of reuse	Art. 14(3)	RTS	DDG.C.4	To further specify the content of Section B of the Annex				
REG	(EU) 2015/2365	Regulation (EU)2015/2365 on transparency of securities financing transactions and of reuse	Art. 13(3)	RTS	DDG.C.4	To further specify the content of Section A of the Annex				

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	(EU) 2015/2366	Directive (EU)2015/2366 on payment services in the internal market (PSD 2)	Art. 29(6)	RTS	B.3	Cooperation and exchange of information between authorities in the supervision of payment institutions				2019
DIR	(EU) 2015/2366	Directive (EU)2015/2366 on payment services in the internal market (PSD 2)	Art. 98(4)	RTS	B.3	Delegated Regulation (EU) 2018/389 on strong customer authentication and common and secure open standards of communication	C(2017)7782	OJ L 69, 13.03.2018, p. 23-43	27-11-17	
DIR	(EU) 2015/2366	Directive (EU)2015/2366 on payment services in the internal market (PSD 2)	Sec. 3 Art. 29 (5)	RTS	B.3	Commission delegated regulation on supplementing Directive (EU) 2015/2366 of the European Parliament and of the Council with regard to regulatory technical standards on the criteria for appointing central contact points within the field of payment services and on the functions of those central contact points	C(2019)1997		14-03-19	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	(EU) 2015/2366	Directive (EU)2015/2366 on payment services in the internal market (PSD 2)	Art. 28(5)	RTS	B.3	Delegated Regulation (EU)2017/2055 on the cooperation and exchange of information between competent authorities relating to the exercise of the right of establishment and the freedom to provide services of payment institutions	C(2017)4250	OJ L 294, 11.11.2017, p. 1-25	23-06-17	
DIR	(EU) 2015/2366	Directive (EU)2015/2366 on payment services in the internal market (PSD 2)	Sec. 1 Art. 15 (5)	ITS	B.3	Information by MS for the central register	C(2018)7664	OJ L 73, 15.03.2019, p. 20-83	29-11-18	
DIR	(EU) 2015/2366	Directive (EU)2015/2366 on payment services in the internal market (PSD 2)	Art. 15(4)	RTS	B.3	Central register	C(2018)7666	OJ L 73, 15.03.2019, p. 84-92	29-11-18	
REG	(EU) 2015/760	Regulation (EU) No 2015/760 on European Long-term Investment Funds (ELTIF)	Art. 25(3)	RTS	DDG.C.4	Costs disclosures				2019

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) 2015/760	Regulation (EU) No 2015/760 on European Long-term Investment Funds (ELTIF)	Art. 18(7), Art. 21(3), Art. 26(2), Art. 9(3)	RTS	DDG.C.4	Delegated Regulation (EU) 2018/480 on financial derivative instruments solely serving hedging purposes, sufficient length of the life of the European long-term investment funds, assessment criteria for the market for potential buyers and valuation of the assets to be divested, and the types and characteristics of the facilities available to retail investors.	C(2017)7967	OJ L 81, 23.03.2018, p. 1-5	04-12-17	
2020	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts		DA	DDG.C.3	Minimum standards for benchmarks labelled as EU Climate Transition and EU Paris-aligned Benchmarks				2020
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 13 (2)	DA	DDG.C.3	Key elements of the methodology reflecting environmental, social or governance ('ESG') factors				2020
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 3 (2)	DA	DDG.C.3	Amendment to Delegated Act under Benchmark Regulation on technical aspects of definitions				2020

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 30 (3)	IA	DDG.C.3	Equivalence binding requirements for specific administrators, benchmarks or families of benchmarks in third countries and their effective supervision - Singapore	C(2019)5477		29-07-19	
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 30 (3)	IA	DDG.C.3	Equivalence binding requirements for specific administrators, benchmarks or families of benchmarks in third countries and their effective supervision - Australia	C(2019)5476		29-07-19	
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 20 (1)	IA	DDG.C.3	Amendment of the list of critical benchmarks provided by administrators located within the Union - WIBOR	C(2019)2171	OJ L 82, 25.03.2019, p. 26-28	22-03-19	
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 20 (1)	IA	DDG.C.3	Amendment of the list of critical benchmarks provided by administrators located within the Union - ICE swap rate				2019

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 20	IA	DDG.C.3	Amendment of the list of critical benchmarks provided by administrators located within the Union				2019
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 20 (1)	IA	DDG.C.3	Implementing Regulation (EU) 2018/1557 amending Implementing Regulation (EU) 2016/1368 establishing a list of critical benchmarks used in financial markets	C(2018) 6727	OJ L 261, 18.10.2018, p. 10-12	17-10-18	
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 20 (1)	IA	DDG.C.3	Implementing Regulation (EU) 2017/2446 amending Implementing Regulation (EU) 2016/1368 establishing a list of critical benchmarks used in financial markets	C(2017) 8440	OJ L 346, 28.12.2017, p. 1-3	19-12-17	
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 20 (1)	IA	DDG.C.3	Implementing Regulation (EU) 2017/1147 amending Implementing Regulation (EU) 2016/1368 establishing a list of critical benchmarks used in financial markets	C(2017)4336	OJ L 166, 29.06.2017, p. 32-34	28-06-17	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 54 (3)	DA	DDG.C.3	Extension transitional registration regime				2020
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 51 (6)	DA	DDG.C.3	Delegated Regulation (EU) 2018/67 on the establishment of the conditions to assess the impact resulting from the cessation of or change to existing benchmarks	C(2017) 6537	OJ L 12, 17.01.2018, p. 14-15	03-10-17	
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 47 (3)	ITS	DDG.C.3	Implementing Regulation (EU) 2018/1105 on procedures and forms for the provision of information by competent authorities to ESMA	C/2018/4993	OJ L 202, 09.08.2018, p. 1-8	08-08-18	
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 34 (8)	RTS	DDG.C.3	Delegated Regulation (EU) 2018/1646 for the information to be provided in an application for authorisation and in an application for registration	C(2018) 4438	OJ L 274, 05.11.2018, p. 43-50	13-07-18	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 32 (9)	RTS	DDG.C.3	Delegated Regulation (EU) 2018/1645 for the form and content of the application for recognition with the competent authority of the Member State of reference and of the presentation of information in the notification to European Securities and Markets Authority (ESMA)	C(2018) 4426	OJ L 274, 05.11.2018, p. 36-42	13-07-18	
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 30 (5)	RTS	DDG.C.3	Delegated Regulation (EU) 2018/1644 determining the minimum content of cooperation arrangements with competent authorities of third countries whose legal framework and supervisory practices have been recognised as equivalent	C(2018) 4427	OJ L 274, 05.11.2018, p. 33-35	13-07-18	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 27 (3)	RTS	DDG.C.3	Delegated Regulation (EU) 2018/1643 specifying further the contents of, and cases where updates are required to, the benchmark statement to be published by the administrator of a benchmark	C(2018) 4439	OJ L 274, 05.11.2018, p. 29-32	13-07-18	
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 25 (9)	RTS	DDG.C.3	Delegated Regulation (EU) 2018/1642 specifying further the criteria to be taken into account by competent authorities when assessing whether administrators of significant benchmarks should apply certain requirements	C(2018) 4434	OJ L 274, 05.11.2018, p. 25-28	13-07-18	
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 25 (8), Art. 26 (5)	ITS	DDG.C.3	Implementing Regulation (EU) 2018/1106 on templates for the compliance statement to be published and maintained by administrators of significant and non-significant benchmarks	C(2018) 4995	OJ L 202, 09.08.2018, p. 9-12	08-08-18	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 24 (2)	DA	DDG.C.3	Calculation method threshold significant benchmarks				2020
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 20 (6)	DA	DDG.C.3	Delegated Regulation (EU) 2018/64 specifying how the criteria of Article 20(1)(c)(iii) are to be applied for assessing whether certain events would result in significant and adverse impacts on market integrity, financial stability, consumers, the real economy or the financing of households and businesses in one or more Member States	C(2017) 6469	OJ L 12, 17.01.2018, p. 5-8	29-09-17	
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 20(6)b	DA	DDG.C.3	Calculation method thresholds critical benchmarks				2020

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 20 (6)	DA	DDG.C.3	Delegated Regulation (EU) 2018/66 specifying how the nominal amount of financial instruments other than derivatives, the notional amount of derivatives and the net asset value of investment funds are to be assessed	C(2017) 6464	OJ L 12, 17.01.2018, p. 11-13	29-09-17	
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 20 (1)	IA	DDG.C.3	Implementing Regulation (EU)2016/1368 establishing a list of critical benchmarks used in financial markets	C(2016) 5150	OJ L 217, 12.08.2016, p. 1-3	11-08-16	
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 16 (5)	RTS	DDG.C.3	Delegated Regulation (EU) 2018/1640 specifying further the governance and control requirements for supervised contributors	C(2018) 4425	OJ L 274, 05.11.2018, p. 16-20	13-07-18	
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 15 (6)	RTS	DDG.C.3	Delegated Regulation (EU) 2018/1639 specifying further the elements of the code of conduct to be developed by administrators of benchmarks that are based on input data from contributors	C(2018) 4432	OJ L 274, 05.11.2018, p. 11-15	13-07-18	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 13 (3)	RTS	DDG.C.3	Delegated Regulation (EU) 2018/1641 specifying further the information to be provided by administrators of critical or significant benchmarks on the methodology used to determine the benchmark, the internal review and approval of the methodology and on the procedures for making material changes in the methodology	C(2018) 4435	OJ L 274, 05.11.2018, p. 21-24	13-07-18	
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 11 (5)	RTS	DDG.C.3	Delegated Regulation (EU) 2018/1638 specifying further how to ensure that input data is appropriate and verifiable, and the internal oversight and verification procedures of a contributor that the administrator of a critical or significant benchmark has to ensure are in place where the input data is contributed from a front office function	C(2018) 4431	OJ L 274, 05.11.2018, p. 6-10	13-07-18	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 5 (5)	RTS	DDG.C.3	Delegated Regulation (EU) 2018/1637 for the procedures and characteristics of the oversight function	C(2018) 4430	OJ L 274, 05.11.2018, p. 1-5	13-07-18	
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 3 (3)	IA	DDG.C.3	List of public authorities				2019
REG	(EU) 2016/1011	Regulation (EU) 2016/1011 on indices used as benchmarks in financial instruments and financial contracts	Art. 3 (2)	DA	DDG.C.3	Delegated Regulation (EU) 2018/65 specifying technical elements of the definitions laid down in paragraph 1 of Article 3 of Regulation (EU) 2016/1011	C(2017) 6474	OJ L 12, 17.01.2018, p. 9-10	29-09-17	
DIR	(EU) 2016/97	Directive (EU)2016/97 on insurance distribution (recast)	Art. 30(6)	DA	DDG.D.4	Delegated Regulation amending Delegated Regulation (EU) 2017/2359 with regard to environmental, social and governance preferences in the distribution of insurance-based investment products				2019
DIR	(EU) 2016/97	Directive (EU)2016/97 on insurance distribution (recast)	Art. 25(2), Art. 28(4), Art. 29(4), Art. 30(6)	DA	DDG.D.4	Delegated Regulation (EU) 2018/541 amending Delegated Regulation (EU) 2017/2358 and Delegated Regulation (EU) 2017/2359 as regards their dates of application	C(2017)8681	OJ L 90, 06.04.2018, p. 59-60	20-12-17	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	(EU) 2016/97	Directive (EU)2016/97 on insurance distribution (recast)	Art. 20(9)	ITS	DDG.D.4	Implementing Regulation (EU) 2017/1469 on a standardised presentation format for the insurance product information document	C(2017)5544	OJ L 209, 12.08.2017, p. 19-23	11-08-17	
DIR	(EU) 2016/97	Directive (EU)2016/97 on insurance distribution (recast)	Art. 10(7)	RTS	DDG.D.4	Delegated Regulation adapting the base euro amounts for professional indemnity insurance and for financial capacity of intermediaries	C(2019)3448		13-05-19	
DIR	(EU) 2016/97	Directive (EU)2016/97 on insurance distribution (recast)	Art. 25(2)	DA	DDG.D.4	Delegated Regulation (EU)2017/2358 on product oversight and governance requirements for insurance undertakings and insurance distributors	C(2017) 6218	OJ L 341, 20.12.2017, p. 1-7	21-09-17	
DIR	(EU) 2016/97	Directive (EU)2016/97 on insurance distribution (recast)	Art. 28(4), Art. 29(4), Art. 30(6)	DA	DDG.D.4	Delegated Regulation (EU)2017/2359 on information requirements and conduct of business rules applicable to the distribution of insurance-based investment products	C(2017) 6229	OJ L 341, 20.12.2017, p. 8-18	21-09-17	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) 2017/1129	Regulation (EU)2017/1129 of 14 June 2017 on the prospectus to be published when securities are offered to the public or admitted to trading on a regulated market, and repealing Directive 2003/71/EC		DA	DDG.C.3	Delegated act on the content of the green bond prospectuses				2020
REG	(EU) 2017/1129	Regulation (EU)2017/1129 of 14 June 2017 on the prospectus to be published when securities are offered to the public or admitted to trading on a regulated market, and repealing Directive 2003/71/EC	Art. 13(1), Art. 13(2), Art. 14(3), Art. 15(2), Art. 20(11), Art. 9(14)	DA	DDG.C.3	Commission Delegated Regulation supplementing Regulation (EU) 2017/1129 as regards the format, content, scrutiny and approval of the prospectus to be published when securities are offered to the public or admitted to trading on a regulated market, and repealing Commission Regulation (EC) No 809/2004	C(2019)2020	OJ L 166, 21.06.2019, p. 26-176	14-03-19	
REG	(EU) 2017/1129	Regulation (EU)2017/1129 of 14 June 2017 on the prospectus to be published when securities are offered to the public or admitted to trading on a regulated market, and repealing Directive 2003/71/EC	Art. 34(3)	ITS	DDG.C.3	procedures and forms for exchange of information as referred to in paragraph 2 (cooperation with ESMA)				

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) 2017/1129	Regulation (EU)2017/1129 of 14 June 2017 on the prospectus to be published when securities are offered to the public or admitted to trading on a regulated market, and repealing Directive 2003/71/EC	Art. 33(7)	ITS	DDG.C.3	standard forms, templates and procedures for the cooperation and exchange of information between competent authorities				
REG	(EU) 2017/1129	Regulation (EU)2017/1129 of 14 June 2017 on the prospectus to be published when securities are offered to the public or admitted to trading on a regulated market, and repealing Directive 2003/71/EC	Art. 33(6)	RTS	DDG.C.3	information to be exchanged between competent authorities in accordance with paragraph 1 (obligation to cooperate)				
REG	(EU) 2017/1129	Regulation (EU)2017/1129 of 14 June 2017 on the prospectus to be published when securities are offered to the public or admitted to trading on a regulated market, and repealing Directive 2003/71/EC	Art. 30(4)	RTS	DDG.C.3	minimum content of the cooperation arrangements referred to in paragraph 1 and the template document to be used therefor				
REG	(EU) 2017/1129	Regulation (EU)2017/1129 of 14 June 2017 on the prospectus to be published when securities are offered to the public or admitted to trading on a regulated market, and repealing Directive 2003/71/EC	Art. 19(4)	RTS	DDG.C.3	update the list of documents set out in paragraph 1				
REG	(EU) 2017/1129	Regulation (EU)2017/1129 of 14 June 2017 on the prospectus to be published when securities are offered to the public or admitted to trading on a regulated market, and repealing Directive 2003/71/EC	Art. 16(5)	DA	DDG.C.3	criteria for the assessment by the issuer of the specificity and materiality of risk factors				

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) 2017/1129	Regulation (EU)2017/1129 of 14 June 2017 on the prospectus to be published when securities are offered to the public or admitted to trading on a regulated market, and repealing Directive 2003/71/EC	Art. 29(3)	DA	DDG.C.3	establishing general equivalence criteria (equivalence of third country prospectus rules)				
REG	(EU) 2017/1129	Regulation (EU)2017/1129 of 14 June 2017 on the prospectus to be published when securities are offered to the public or admitted to trading on a regulated market, and repealing Directive 2003/71/EC	Art. 26(6)	ITS	DDG.C.3	standard forms, templates and procedures for the notification of the certificate of approval relating to the registration document				
REG	(EU) 2017/1129	Regulation (EU)2017/1129 of 14 June 2017 on the prospectus to be published when securities are offered to the public or admitted to trading on a regulated market, and repealing Directive 2003/71/EC	Art. 25(8)	ITS	DDG.C.3	standard forms, templates and procedures for the notification of the certificate of approval, the prospectus, the supplement of the prospectus and the translation of the prospectus and/or summary				
REG	(EU) 2017/1129	Regulation (EU)2017/1129 of 14 June 2017 on the prospectus to be published when securities are offered to the public or admitted to trading on a regulated market, and repealing Directive 2003/71/EC	Art. 18(4)	RTS	DDG.C.3	cases where information may be omitted				

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) 2017/1129	Regulation (EU) 2017/1129 of 14 June 2017 on the prospectus to be published when securities are offered to the public or admitted to trading on a regulated market, and repealing Directive 2003/71/EC	Art. 21(12), Art. 21(13), Art. 22(9), Art. 23(7), Art. 25(7), Art. 7(13)	RTS	DDG.C.3	Commission Delegated Regulation supplementing Regulation (EU) 2017/1129 with regard to regulatory technical standards on key financial information in the summary of a prospectus, the publication and classification of prospectuses, advertisements for securities, supplements to a prospectus, and the notification portal, and repealing Commission Delegated Regulation (EU) No 382/2014 and Commission Delegated Regulation (EU) 2016/301	C(2019)2022	OJ L 166, 21.06.2019, p. 1-25	14-03-19	
REG	(EU) 2017/1129	Regulation (EU) 2017/1129 of 14 June 2017 on the prospectus to be published when securities are offered to the public or admitted to trading on a regulated market, and repealing Directive 2003/71/EC	Art. 1(7)	DA	DDG.C.3	documents containing minimum information describing a takeover by way of exchange offer or a merger				2019

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) 2017/1131	Regulation (EU)2017/1131 of 14 June 2017 on money market funds	Art. 11(4), Art. 15(7), Art. 22()	DA	DDG.C.4	Delegated Regulation (EU) 2018/990 with regard to simple, transparent and standardised (STS) securitisations and asset-backed commercial papers (ABCPs), requirements for assets received as part of reverse repurchase agreements and credit quality assessment methodologies	C(2018) 2080	OJ L 177, 13.07.2018, p. 1-8	10-04-18	
REG	(EU) 2017/1131	Regulation (EU)2017/1131 of 14 June 2017 on money market funds	Art. 37(4)	ITS	DDG.C.4	Implementing Regulation (EU) 2018/708 on the template to be used by managers of money market funds when reporting to competent authorities	C(2018)2177	OJ L 119, 15.05.2018, p. 5-28	17-04-18	
REG	(EU) 2017/2402	REGULATION (EU)2017/2402 laying down a general framework for securitisation and creating a specific framework for simple, transparent and standardised securitisation	Art. 10 (7)	RTS	A.1	Specification of the details of the application for registration of a securitisation repository				2019
REG	(EU) 2017/2402	REGULATION (EU)2017/2402 laying down a general framework for securitisation and creating a specific framework for simple, transparent and standardised securitisation	Art. 36 (8)	RTS	A.1	Cooperation between CAs and the ESAs- specification general cooperation obligation and the information to be exchanged				2019

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) 2017/2402	REGULATION (EU)2017/2402 laying down a general framework for securitisation and creating a specific framework for simple, transparent and standardised securitisation	Sec. 3 Art. 28 (4)	RTS	A.1	Third party verifying STS compliance-specification of the information	C(2019)740	OJ L 142, 29.05.2019, p. 1-8	05-02-19	
REG	(EU) 2017/2402	REGULATION (EU)2017/2402 laying down a general framework for securitisation and creating a specific framework for simple, transparent and standardised securitisation	Sec. 3 Art. 27 (6)	RTS	A.1	STS notification - specification of the information				2019
REG	(EU) 2017/2402	REGULATION (EU)2017/2402 laying down a general framework for securitisation and creating a specific framework for simple, transparent and standardised securitisation	Sec. 1 Art. 20 (14), Sec. 2 Art. 24 (21)	RTS	A.1	Homogeneity of the underlying exposures in securitisation	C(2019)3785		28-05-19	
REG	(EU) 2017/2402	REGULATION (EU)2017/2402 laying down a general framework for securitisation and creating a specific framework for simple, transparent and standardised securitisation	Art. 10 (7), Art. 17 (2)	RTS	A.1	Registration of a securitisation repository-procedures and applications				2019
REG	(EU) 2017/2402	REGULATION (EU)2017/2402 laying down a general framework for securitisation and creating a specific framework for simple, transparent and standardised securitisation	Art. 8 (5)	RTS	A.1	Ban on resecuritisation-supplement list of legitimate purposes				
REG	(EU) 2017/2402	REGULATION (EU)2017/2402 laying down a general framework for securitisation and creating a specific framework for simple, transparent and standardised securitisation	Art. 7 (3), Art. 17 (2)	RTS	A.1	Information and details of a securitisation to be made available by the originator, sponsor and SSPE				2019

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) 2017/2402	REGULATION (EU)2017/2402 laying down a general framework for securitisation and creating a specific framework for simple, transparent and standardised securitisation	Art. 6 (7)	RTS	A.1	Requirements for originators, sponsors and original lenders relating to risk retention				2019
REG	(EU) 2017/2402	REGULATION (EU)2017/2402 laying down a general framework for securitisation and creating a specific framework for simple, transparent and standardised securitisation	Sec. 3 Art. 27 (7)	ITS	A.1	STS notification - templates				2019
REG	(EU) 2017/2402	REGULATION (EU)2017/2402 laying down a general framework for securitisation and creating a specific framework for simple, transparent and standardised securitisation	Art. 10 (8)	ITS	A.1	Registration of a securitisation repository- Format for applications				2019
REG	(EU) 2017/2402	REGULATION (EU)2017/2402 laying down a general framework for securitisation and creating a specific framework for simple, transparent and standardised securitisation	Art. 7 (4), Art. 17 (3)	ITS	A.1	Format and standardised templates for making available information and details of a securitisation by the originator				2019
REG	(EU) 2017/2402	REGULATION (EU)2017/2402 laying down a general framework for securitisation and creating a specific framework for simple, transparent and standardised securitisation	Art. 16 (2)	DA	A.1	Type of fees, matters for which fees are due, amount of fees, manner to be paid				2019

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) 2017/826	Regulation (EU) 2017/826 of the European Parliament and of the Council of 17 May 2017 on establishing a Union programme to support specific activities enhancing the involvement of consumers and other financial services end-users in Union policy-making in the area of financial services for the period of 2017-2020	Art. 7	IA	01.002	COMMISSION DECISION on establishing the annual work programme for 2018 for the implementation of the Union programme to support specific activities enhancing the involvement of consumers and other financial services end-users in Union policy-making in the area of financial services for the period of 2017-2020	C(2018)2151		16-04-18	
REG	(EU) 2017/826	Regulation (EU) 2017/826 of the European Parliament and of the Council of 17 May 2017 on establishing a Union programme to support specific activities enhancing the involvement of consumers and other financial services end-users in Union policy-making in the area of financial services for the period of 2017-2020	Art. 7	IA	01.002	COMMISSION DECISION establishing the work programme for 2017 for the implementation of the Union programme to support specific activities enhancing the involvement of consumers and other financial services end-users in Union policy-making in the area of financial services for the period of 2017-2020	C(2017)4985		19-07-17	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	(EU) 2019/878	DIRECTIVE (EU) 2019/878 amending Directive 2013/36/EU as regards exempted entities, financial holding companies, mixed financial holding companies, remuneration, supervisory measures and powers and capital conservation measures	Art. 45(j)2	ITS	DDG.D.3	Directive (EU)2019/879 - BRRD II - Article 45j(2)				2020
DIR	(EU) 2019/879	Directive (EU) 2019/879 BRRD	Art. 71(a)5	RTS	DDG.D.3	Directive (EU) 2019/879 - BRRD II - Article 71a(5)				2020
DIR	(EU) 2019/879	Directive (EU) 2019/879 BRRD	Art. 55(6)	RTS	DDG.D.3	Directive (EU)2019/879 - BRRD II - Article 55(6)				2020
DIR	(EU) 2019/879	Directive (EU) 2019/879 BRRD	Art. 45(4)	RTS	DDG.D.3	Directive (EU) 2019/879 BRRD II - Article 45				2020
REG	(EU) No 1286/2014	Regulation (EU) No 1286/2014 on key information documents for packaged retail and insurance based investment products (PRIIPS)	Art. 8(4)	DA	DDG.C.4	Specifying the details of the procedures used to establish whether a PRIIP targets specific environmental or social objectives.				
REG	(EU) No 1286/2014	Regulation (EU) No 1286/2014 on key information documents for packaged retail and insurance based investment products (PRIIPS)	Art. 16(8), Art. 17(7)	DA	DDG.C.4	DELEGATED REGULATION (EU) 2016/1904 on product intervention	C(2016)4369	OJ L 295, 29.10.2016, p. 11-18	14-07-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 1286/2014	Regulation (EU) No 1286/2014 on key information documents for packaged retail and insurance based investment products (PRIIPS)	Art. 10(2), Art. 13(5), Art. 8(5)	RTS	DDG.C.4	Delegated Regulation (EU) 2017/653 on the presentation, content, review and revision of key information documents and the conditions for fulfilling the requirement to provide such documents	C(2017)1473	OJ L 100, 12.04.2017, p. 1-52	08-03-17	
REG	(EU) No 258/2014	Regulation (EU) No 258/2014 establishing a Union programme to support specific activities in the field of financial reporting and auditing for the period of 2014-20	Art. 7	IA	DDG.C.1	COMMISSION IMPLEMENTING DECISION establishing the work programme for 2016 for the implementation of the Union programme to support specific activities in the field of financial reporting and auditing	C(2016)2138		15-04-16	
REG	(EU) No 537/2014	Regulation (EU) No 537/2014 on specific requirements regarding statutory audit of public-interest entities (Statutory Audit regulation)	Art. 9, Art. 26 (3)	DA	DDG.C.1	Adoption of International Standards on Auditing				
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 3 Art. 344 (1)	ITS	DDG.D.1	ITS amending the ITS on diversified stock indices under CRR				2019

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 354 (3)	ITS	DDG.D.1	ITS amending Implementing Regulation (EU) 2015/2197 with regard to closely correlated currencies in accordance with Regulation (EU) No 575/2013 of the European Parliament and of the Council				2019
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 107 (4), () () Sec. 2 Art. 114 (7), () () Sec. 2 Art. 115 (4), () () Sec. 2 Art. 116 (5), () () Sec. 1 Art. 142 (2)	IA	DDG.D.2	Equivalence for Argentina for the purposes of the treatment of certain exposures of Credit Institutions according to Regulation (EU) No 575/2013 (CRR)	C(2019)2315	OJ L 92, 01.04.2019, p. 3-8	29-03-19	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 4 Art. 479 (3)	IA	DDG.D.1	IMPLEMENTING REGULATION (EU) No .../.. on the extension of the transitional periods related to own funds requirements for exposures to central counterparties	C(2018)7994	OJ L 309, 05.12.2018, p. 1-2	04-12-18	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 2 Art. 18 (7)	RTS	DDG.D.1	RTS on methods of prudential consolidation				

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 3 Art. 136 (1)	ITS	DDG.C.1	Implementing Regulation amending Implementing Regulation (EU) 2016/1799 as regards the mapping tables specifying the correspondence between the credit risk assessments of external credit assessment institutions and the credit quality steps set out in CRR				2019
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 99 (5), () () Art. 99 (6), () () Art. 394 (4), () () Art. 415 (3), () () Art. 430 (2)	ITS	DDG.D.1	Implementing Regulation (EU) 2018/1627 amending Implementing Regulation (EU) No 680/2014 as regards prudent valuation for supervisory reporting	C(2018) 6492	OJ L 281, 09.11.2018, p. 1-525	09-10-18	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 354 (3)	ITS	DDG.D.1	Implementing Regulation (EU) 2018/1580 amending Implementing Regulation (EU) 2015/2197 on closely correlated currencies	C(2018) 6821	OJ L 263, 22.10.2018, p. 53-56	19-10-18	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 497 (3)	IA	DDG.D.1	Implementing Regulation (EU) 2018/815 on the extension of the transitional periods related to own funds requirements for exposures to central counterparties	C(2018)3303	OJ L 137, 04.06.2018, p. 3-4	01-06-18	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	Art. 270e()	ITS	DDG.C.1	Securitisation mapping				
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	Art. 270a(2)	ITS	DDG.D.1	Additional risk weight				
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 3 Art. 255	RTS	DDG.D.1	Determination of Kirb for the pools of underlying exposures				2019
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 3 Art. 245 (6)	DA	DDG.D.1	Syntethic securitization				
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 3 Art. 248 (1)	RTS	DDG.D.1	Exposure value				
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 2 Art. 244 (6)	DA	DDG.D.1	Recognition of significant risk transfer				

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 3 Art. 136 (1)	ITS	DDG.C.1	Implementing Regulation (EU) 2018/634 amending Implementing Regulation (EU) 2016/1799 as regards the mapping tables specifying the correspondence between the credit risk assessments of external credit assessment institutions and the credit quality steps set out in CRR	C(2018)2359	OJ L 105, 25.04.2018, p. 14-20	24-04-18	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 497 (3)	IA	DDG.D.1	Implementing Regulation (EU) 2017/2241 on the extension of the transitional periods related to own funds requirements for exposures to central counterparties	C(2017)8073	OJ L 322, 07.12.2017, p. 27-28	06-12-17	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 383 (7)	RTS	DDG.D.1	RTS for determining proxy spread and limited smaller portfolios for CVA risk				2019

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 99 (5), () () Art. 99 (6), () () Art. 101 (4), () () Art. 394 (4)	ITS	DDG.D.1	Commission Implementing regulation (EU) .../... amending Implementing Regulation (EU) 680/2014 as regards templates instructions and definitions				2019
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 99 (5), () () Art. 99 (6), () () Art. 101 (4), () () Art. 394 (4)	ITS	DDG.D.1	Implementing Regulation (EU) 2017/2114 of 9 November 2017 amending Implementing Regulation (EU) No 680/2014 as regards templates and instructions (1)	C(2017)7377	OJ L 321, 06.12.2017, p. 1-427	09-11-17	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 497 (3)	IA	DDG.D.1	Implementing Regulation (EU)2017/954 on the extension of the transitional periods related to own funds requirements for exposures to central counterparties set out in Regulations (EU) No 575/2013 and (EU) No 648/2012	C(2017)3691	OJ L 144, 07.06.2017, p. 14-16	06-06-17	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 503 (4)	DA	DDG.D.2	Delegated Regulation (EU)2017/2188 on the waiver on own funds requirements for certain covered bonds	C(2017) 5562	OJ L 310, 25.11.2017, p. 1-2	11-08-17	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() Art. 107 (4), () Sec. 2 Art. 114 (7), () Sec. 2 Art. 115 (4), () Sec. 2 Art. 116 (5), () Sec. 1 Art. 142 (2)	IA	DDG.D.2	Implementing Decision (EU) 2016/2358 amending Implementing Decision 2014/908/EU as regards the lists of third countries and territories whose supervisory and regulatory requirements are considered equivalent for the purposes of the treatment of exposures	C(2016)8560	OJ L 348, 21.12.2016, p. 75-80	20-12-16	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() Art. 99 (5), () Art. 99 (6), () Art. 101 (4), () Art. 394 (4)	ITS	DDG.D.1	Implementing Regulation (EU) 2017/1443 amending Implementing Regulation (EU) No 680/2014 on supervisory reporting of institutions	C(2017)4419	OJ L 213, 17.08.2017, p. 1-527	29-06-17	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() Sec. 3 Art. 136 (3)	ITS	DDG.C.1	Implementing Regulation (EU) 2016/1801 on the mapping of credit assessments of external credit assessment institutions for securitisation	C(2016)6447	OJ L 275, 12.10.2016, p. 27-33	11-10-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 497 (3)	IA	DDG.D.1	Implementing Regulation 2016/2227 on the extension of the transitional periods related to own funds requirements for exposures to central counterparties	C(2016)8082	OJ L 336, 10.12.2016, p. 36-37	09-12-16	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 497 (3)	IA	DDG.D.1	Implementing Regulation (EU) 2016/892 on the extension of the transitional periods related to own funds requirements for exposures to central counterparties	C(2016)3354	OJ L 151, 08.06.2016, p. 4-5	07-06-16	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 99 (5), () () Art. 99 (6), () () Art. 101 (4), () () Art. 394 (4)	ITS	DDG.D.1	Implementing regulation (EU) 2016/1702 - ITS on supervisory reporting, amending ITS (EU)680/2014	C(2016)5248	OJ L 263, 29.09.2016, p. 1-513	18-08-16	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 441 (2)	ITS	DDG.E.3	Implementing Regulation (EU)2016/818 amending Impl. Reg. (EU)1030/2014 on uniform formats and date for the disclosure of the values used to identify global systemically important institutions		OJ L 136, 25.05.2016, p. 4-7	17-05-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 1 Art. 146	IA	DDG.D.1	Exempting Dutch credit unions from the scope of Union prudential banking laws: the CRD (Directive EU/2013/36) and the CRR Regulation (EU) 575/2013).				
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 107 (4), () () Sec. 1 Art. 142 (2)	IA	DDG.D.1	Implementing Decision (EU) 2016/230 amending Implementing Decision 2014/908/EU on the lists of third countries and territories whose supervisory and regulatory requirements are considered equivalent for the purposes of the treatment of exposures	C(2016)846	OJ L 41, 18.02.2016, p. 23-27	17-02-16	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 497 (3)	IA	DDG.D.1	Implementing Regulation (EU) 2015/2326 on the extension of the transitional periods related to own funds requirements for exposures to central counterparties	C(2015)8921	OJ L 328, 12.12.2015, p. 108-109	11-12-15	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 497 (3)	IA	DDG.D.1	Implementing Regulation (EU) 2015/880 on the extension of the transitional periods related to own funds requirements for exposures to central counterparties	C(2015)3634	OJ L 143, 09.06.2015, p. 7-8	04-06-15	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 460	DA	DDG.D.1	Delegated Regulation (EU) 2018/1620 amending Delegated Regulation (EU) 2015/61 on liquidity coverage requirement for credit institutions	C(2018)4404	OJ L 271, 30.10.2018, p. 10-24	13-07-18	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 1 Art. 94 (2), () () Sec. 1 Art. 329 (3), () () Art. 352 (6), () () Art. 358 (4)	RTS	DDG.D.1	Delegated Regulation (EU) 2016/861 correcting Del. Reg. (EU)528/2014 on non-delta risk of options in the standardised market risk approach and correcting Del. Reg. (EU) 604/2014 on qualitative and appropriate quantitative criteria to identify categories of staff whose professional activities have a material impact on an institution's risk profile	C(2016) 901	OJ L 144, 01.06.2016, p. 21-23	18-02-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 415 (3)	ITS	DDG.D.1	Implementing Regulation (EU) 2016/322 amending Implementing Regulation (EU) 680/2014 laying down implementing technical standards with regard to supervisory reporting of institutions of the liquidity coverage requirement	C(2016)694	OJ L 64, 10.03.2016, p. 1-142	10-02-16	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 430 (2)	ITS	DDG.D.1	Implementing Regulation (EU) 2016/428 amending Impl. Reg. (EU) 680/2014 on supervisory reporting of institutions as regards the reporting of the Leverage Ratio	C(2016) 1685	OJ L 83, 31.03.2016, p. 1-55	23-03-16	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 99 (5), () () Art. 99 (6), () () Art. 101 (4), () () Art. 394 (4), () () Art. 415 (3), () () Art. 430 (2)	ITS	DDG.D.1	Implementing Reg. (EU) 2015/1278 amending Implementing Reg. (EU) No 680/2014 on supervisory reporting of institutions as regards instructions, templates and definitions	C(2015)4598	OJ L 205, 31.07.2015, p. 1-300	09-07-15	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 107 (4), () () Sec. 2 Art. 114 (7), () () Sec. 2 Art. 115 (4), () () Sec. 2 Art. 116 (5), () () Sec. 1 Art. 142 (2)	IA	DDG.D.1	Implementing Decision 2014/908/EU - on the equivalence of the supervisory and regulatory requirements of certain third countries and territories for the purposes of the treatment of exposures	C (2014)9400	OJ L 359, 16.12.2014, p. 155-160	12-12-14	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 497 (3)	IA	DDG.D.1	(EU)1317/2014 - Second extension of the transitional periods CCP's	C(2014)9410	OJ L 355, 12.12.2014, p. 6-7	11-12-14	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 9 Art. 304 (5)	RTS	DDG.D.1	(EU)2015/585 - Margin Periods Of Risk	C(2014) 9802	OJ L 98, 15.04.2015, p. 1-3	18-12-14	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 1 Art. 28 (5)	RTS	DDG.D.1	Delegated Regulation (EU) 2015/850 amending Delegated Regulation (EU) No 241/2014 on Own Funds requirements for institutions (part 4)	C(2015)361	OJ L 135, 02.06.2015, p. 1-7	30-01-15	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 2 Art. 97 (4)	RTS	DDG.D.1	(EU)2015/488 - Own funds based on fixed overheads	C(2014) 6200	OJ L 78, 24.03.2015, p. 1-4	04-09-14	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 497 (3)	IA	DDG.D.1	(EU)591/2014 -First extension of the transitional periods CCP's	C(2014) 3546	OJ L 165, 04.06.2014, p. 31-32	03-06-14	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 316 (3)	RTS	DDG.D.1	Relevant indicator under accounting standards				2019
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 314 (5)	RTS	DDG.D.1	Combined use of different approaches				
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 4 Art. 221 (9)	RTS	DDG.D.1	Immaterial portfolios				
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	Art. 443()	RTS	DDG.D.1	DELEGATED REGULATION (EU) 2017/2295 of 4 September 2017 supplementing Regulation (EU) No 575/2013 of the European Parliament and of the Council with regard to regulatory technical standards for disclosure of encumbered and unencumbered assets	C(2017)5959	OJ L 329, 13.12.2017, p. 6-19	04-09-17	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 422 (10), () () Art. 425 (6)	RTS	DDG.D.1	DELEGATED REGULATION (EU) 2017/1230 on additional objective criteria for the application of a preferential liquidity outflow or inflow rate for cross-border undrawn credit or liquidity facilities within a group or an institutional protection scheme	C(2017)3522	OJ L 177, 08.07.2017, p. 7-10	31-05-17	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 6 Art. 183 (6)	RTS	DDG.D.1	Conditions for conditional guarantees				
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 1 Art. 194 (10)	RTS	DDG.D.1	Eligible collateral within CRM framework - eligibility criteria for funded credit protection				
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 2 Art. 153 (9)	RTS	DDG.D.1	Risk weights for specialised lending exposures				2019
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 2 Art. 124 (4), () () Sec. 4 Art. 164 (6)	RTS	DDG.D.1	Risk weights for mortgage lending				
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 6 Art. 181 (3), () () Sec. 6 Art. 182 (4)	RTS	DDG.D.1	RTS on the nature, severity and duration of economic downturn				2019

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 1 Art. 363 (4)	RTS	DDG.D.1	Assessment methodology				2019
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 1 Art. 144 (2), () () Sec. 6 Art. 173 (3), () () Sec. 6 Art. 180 (3)	RTS	DDG.D.1	DELEGATED REGULATION on the specification of the assessment methodology competent authorities shall follow in assessing the compliance of an institution with the requirements to use the IRB Approach				2019
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 382 (5)	RTS	DDG.D.1	Delegated Regulation (EU) 2018/728 on procedures for excluding transactions with non-financial counterparties established in a third country from the own funds requirement for credit valuation adjustment risk	C(2018)256	OJ L 123, 18.05.2018, p. 1-3	24-01-18	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 2 Art. 124 (4)	RTS	DDG.D.1	Mortgage Lending Value				

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 6 Art. 178 (6)	RTS	DDG.D.1	DELEGATED REGULATION (EU) 2018/171 of 19 October 2017 on supplementing Regulation (EU) No 575/2013 of the European Parliament and of the Council with regard to regulatory technical standards for the materiality threshold for credit obligations past due	C(2017)6940	OJ L 32, 06.02.2018, p. 1-5	19-10-17	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 1 Art. 148	RTS	DDG.D.1	Roll out				
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 1 Art. 150 (3), () () Sec. 2 Art. 152 (5)	RTS	DDG.D.1	Permanent partial use of SA				
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 312 (4)	RTS	DDG.D.2	Delegated Regulation (EU) 2018/959 on the specification of the assessment methodology under which competent authorities permit institutions to use Advanced Measurement Approaches for operational risk	C(2018)1446 f	OJ L 169, 06.07.2018, p. 1-26	14-03-18	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 2 Art. 197 (8)	ITS	DDG.D.1	IMPLEMENTING REGULATION (EU)2016/1646 on main indices and recognised exchanges	C(2016) 5721	OJ L 245, 14.09.2016, p. 5-15	13-09-16	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 105 (14)	RTS	DDG.D.1	Delegated Regulation (EU) 2016/101 on prudent valuation under Article 105(14)	C(2015)7245	OJ L 21, 28.01.2016, p. 54-65	26-10-15	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 423 (3)	RTS	DDG.D.1	DELEGATED REGULATION (EU)2017/208 on additional liquidity outflows corresponding to collateral needs resulting from the impact of an adverse market scenario on an institution's derivatives transactions	C(2016)6867	OJ L 33, 08.02.2017, p. 14-15	31-10-16	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 410 (2)	RTS	DDG.D.1	Delegated Regulation (EU) 2015/1798 correcting Delegated Regulation (EU) No 625/2014 specifying the requirements for investor, sponsor, original lenders and originator institutions relating to exposures to transferred credit risk	C(2015)4422	OJ L 263, 08.10.2015, p. 12-13	02-07-15	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 440 (2)	RTS	DDG.E.3	(EU)2015/1555 - Countercyclical buffer disclosures	C(2015)3468	OJ L 244, 19.09.2015, p. 1-8	28-05-15	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 6 Art. 180 (3), () () Sec. 6 Art. 181 (3), () () Sec. 6 Art. 182 (4)	RTS	DDG.D.1	DELEGATED REGULATION (EU) 2017/72 specifying conditions for data waiver permissions	C(2016)5905	OJ L 10, 14.01.2017, p. 1-4	23-09-16	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 419 (5)	RTS	DDG.D.1	Delegated Regulation (EU) 2016/709 on the conditions for the application of the derogations concerning currencies with constraints on the availability of liquid assets	C(2016)270	OJ L 125, 13.05.2016, p. 1-5	26-01-16	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 495 (3)	RTS	DDG.D.1	Delegated Reg (EU) 2015/1556 on the transitional treatment of equity exposures under the IRB approach	C(2015)3797	OJ L 244, 19.09.2015, p. 9-10	11-06-15	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 1 Art. 363 (4)	RTS	DDG.D.1	Delegated Regulation (EU)2015/942 amending Delegated Regulation (EU) 529/2014 on assessing the materiality of extensions and changes of internal approaches when calculating own funds requirements for market risk	C (2015) 1365	OJ L 154, 19.06.2015, p. 1-7	04-03-15	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 390 (8)	RTS	DDG.D.1	(EU)1187/2014 - Transactions with exposures to underlying assets	C(2014)6946	OJ L 324, 07.11.2014, p. 1-5	02-10-14	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 410 (2)	RTS	DDG.D.1	Delegated Reg. (EU)625/2014 specifying the requirements for investor, sponsor, original lenders and originator institutions relating to exposures to transferred credit risk	C(2014) 1557	OJ L 174, 13.06.2014, p. 16-25	13-03-14	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 3 Art. 341 (3)	RTS	DDG.D.1	(EU)525/2014 - Definition of the term market	C(2014) 1552	OJ L 148, 20.05.2014, p. 15-16	12-03-14	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 1 Art. 329 (3), () () Art. 352 (6), () () Art. 358 (4)	RTS	DDG.D.1	(EU)528/2014 - Risks in activities of options and warrants	C(2014) 1556	OJ L 148, 20.05.2014, p. 29-35	12-03-14	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 3 Art. 36 (2), () () Art. 73 (7), () () Art. 84 (4)	RTS	DDG.D.1	Delegated Regulation (EU)2015/923 amending Delegated Regulation (EU) No 241/2014 on own funds requirements for institutions	C(2015)1530	OJ L 150, 17.06.2015, p. 1-9	11-03-15	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 383 (7)	RTS	DDG.D.1	(EU)526/2014 - Determination of methods for CVA capital charge	C(2014) 1555	OJ L 148, 20.05.2014, p. 17-20	12-03-14	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 1 Art. 143 (5), () () Art. 312 (4)	RTS	DDG.D.1	(EU)529/2014 - Materiality of model changes and extensions (credit and operational risk)	C(2014) 1551	OJ L 148, 20.05.2014, p. 36-49	12-03-14	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 2 Art. 33 (4)	RTS	DDG.D.1	(EU)523/2014 - Close correspondence	C(2014) 1553	OJ L 148, 20.05.2014, p. 4-5	12-03-14	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 3 Art. 49 (6)	RTS	DDG.D.2	Delegated Regulation (EU)342/2014 on the application of the calculation methods of capital adequacy requirements for financial conglomerates	C(2014)139	OJ L 100, 03.04.2014, p. 1-9	21-01-14	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 1 Art. 26 (4), () () Sec. 1 Art. 27 (2), () () Sec. 1 Art. 28 (5), () () Sec. 1 Art. 29 (6), () () Sec. 2 Art. 32 (2), () () Sec. 3 Art. 36 (2), () () Sec. 3 Art. 41 (2), () () Sec. 1 Art. 52 (2), () () Art. 76 (4), () () Art. 78 (5), () () Art. 79 (2), () () Art. 83 (2), () () Sec. 5 Art. 481 (6), () () Sec. 2 Art. 487 (3)	RTS	DDG.D.1	(EU)241/2014 - Own funds (1 and 2 and Gain on sale)	C(2013) 9763	OJ L 74, 14.03.2014, p. 8-26	07-01-14	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 3 Art. 136 (1), () () Sec. 3 Art. 136 (3)	ITS	DDG.C.1	Implementing Regulation (EU)2016/1799 on the mapping of credit assessments of external credit assessment institutions for credit risk	C(2016)6365	OJ L 275, 12.10.2016, p. 3-18	07-10-16	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 460	DA	DDG.D.1	(EU)2015/61 - Liquidity Coverage Requirement	C(2014) 7232	OJ L 11, 17.01.2015, p. 1-36	10-10-14	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 456 (1)	DA	DDG.D.1	(EU)2015/62- Leverage Ratio	C(2014) 7237	OJ L 11, 17.01.2015, p. 37-43	10-10-14	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 318 (3)	ITS	DDG.D.1	Principles for business line mapping				2019
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 415 (3)	ITS	DDG.D.1	Implementing Regulation (EU) 2016/313 amending Impl. Reg. (EU) 680/2014 on additional monitoring metrics for liquidity reporting	C(2016) 1198	OJ L 60, 05.03.2016, p. 5-58	01-03-16	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 451 (2)	ITS	DDG.D.1	Implementing Regulation (EU) 2016/200 on disclosure of the leverage ratio for institutions	C(2016)744	OJ L 39, 16.02.2016, p. 5-25	15-02-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 3 Art. 20 (8)	ITS	DDG.D.1	Implementing Regulation (EU) 2016/100 specifying the joint decision process with regard to the application for certain prudential permissions	c(2015)6993	OJ L 21, 28.01.2016, p. 45-53	16-10-15	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 419 (4)	ITS	DDG.D.1	Implementing Regulation (EU) 2015/2344 on currencies with constraints on the availability of liquid assets	C(2015)8915	OJ L 330, 16.12.2015, p. 26-28	15-12-15	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 354 (3)	ITS	DDG.D.1	Implementing Regulation (EU) 2015/2197 on closely correlated currencies	C(2015)8209	OJ L 313, 28.11.2015, p. 30-34	27-11-15	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 416 (5)	ITS	DDG.D.1	Implementing Regulation (EU) 2015/233 of 13 February 2015 laying down ITSs on currencies with extremely narrow definition of central bank eligibility	C(2015)669	OJ L 39, 14.02.2015, p. 11-12	13-02-15	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 99 (4), () () Art. 99 (5), () () Art. 99 (6), () () Art. 101 (4), () () Art. 394 (4), () () Art. 415 (3), () () Art. 430 (2)	ITS	DDG.D.1	Implementing Regulation (EU) 2015/227 amending Implementing Regulation (EU) No 680/2014 on supervisory reporting of institutions	C(2014)10278	OJ L 48, 20.02.2015, p. 1-630	09-01-15	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	Art. 100()	ITS	DDG.D.1	(EU)2015/79 - Reporting on unencumbered assets	C(2014) 9620	OJ L 14, 21.01.2015, p. 1-44	18-12-14	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 441 (2)	ITS	DDG.E.3	(EU)1030/2014 - Disclosing the values of indicators by G-SIIs	C(2014) 6811	OJ L 284, 30.09.2014, p. 14-21	29-09-14	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Sec. 3 Art. 344 (1)	ITS	DDG.D.1	(EU)945/2014 - Diversified indices	C(2014) 6170	OJ L 265, 05.09.2014, p. 3-6	04-09-14	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 410 (3)	ITS	DDG.D.1	(EU)602/2014 - Supervisory practices relating to the securitisation retention rules	C(2014) 3655	OJ L 166, 05.06.2014, p. 22-24	04-06-14	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 99 (5), () () Art. 99 (6), () () Art. 101 (4), () () Art. 394 (4), () () Art. 415 (3), () () Art. 430 (2)	ITS	DDG.D.1	(EU)680/2014 - Supervisory reporting	C(2014) 2487	OJ L 191, 28.06.2014, p. 1-1861	16-04-14	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 437 (2), () () Art. 492 (5)	ITS	DDG.D.1	(EU)1423/2013 - Own Funds disclosure	C(2013) 9243	OJ L 355, 31.12.2013, p. 60-88	20-12-13	
REG	(EU) No 575/2013	Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investment firms (CRR) as amended	() () Art. 110 (4)	RTS	DDG.D.1	Regulation - (EU)183/2014 - calculation of specific and general credit risk adjustments		OJ L 57, 27.02.2014, p. 3-6	20-12-13	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 596/2014	Regulation (EU)No 596/2014 on insider dealing and market manipulation (MAR Market Abuse Regulation)	() Art. 6 (5)	DA	DDG.C.3	MAR Central bank exemption	C(2019) 792	OJ L 80, 22.03.2019, p. 10-12	30-01-19	
REG	(EU) No 596/2014	Regulation (EU)No 596/2014 on insider dealing and market manipulation (MAR Market Abuse Regulation)	() Art. 38	DA	DDG.C.3	Adjustment thresholds in Article 19(1a)(a) and (b)				2020
REG	(EU) No 596/2014	Regulation (EU)No 596/2014 on insider dealing and market manipulation (MAR Market Abuse Regulation)	() Art. 26 (2)	RTS	DDG.C.3	COMMISSION DELEGATED REGULATION with regard to RTS on cooperation with third countries				2019
REG	(EU) No 596/2014	Regulation (EU)No 596/2014 on insider dealing and market manipulation (MAR Market Abuse Regulation)	() Art. 20 (3)	RTS	DDG.C.3	Delegated Regulation (EU)2016/958 on the technical arrangements for objective presentation of investment recommendations or other information recommending or suggesting an investment strategy and for disclosure of particular interests or indications of conflicts of interest	C(2016)1403	OJ L 160, 17.06.2016, p. 15-22	09-03-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 596/2014	Regulation (EU)No 596/2014 on insider dealing and market manipulation (MAR Market Abuse Regulation)	() Art. 16 (5)	RTS	DDG.C.3	Delegated Regulation (EU)2016/957 on the appropriate arrangements, systems and procedures as well as notification templates to be used for preventing, detecting and reporting abusive practices or suspicious orders or transactions	C(2016)1402	OJ L 160, 17.06.2016, p. 1-14	09-03-16	
REG	(EU) No 596/2014	Regulation (EU)No 596/2014 on insider dealing and market manipulation (MAR Market Abuse Regulation)	() Art. 13 (7)	RTS	DDG.C.3	Delegated Regulation (EU) 2016/908 on the criteria, the procedure and the requirements for establishing an accepted market practice and the requirements for maintaining it, terminating it or modifying the conditions for its acceptance	C(2016) 1087	OJ L 153, 10.06.2016, p. 3-12	26-02-16	
REG	(EU) No 596/2014	Regulation (EU)No 596/2014 on insider dealing and market manipulation (MAR Market Abuse Regulation)	() Art. 5 (6)	RTS	DDG.C.3	Delegated Regulation (EU)2016/1052 on the conditions applicable to buy-back programmes and stabilisation measures	C(2016)1357	OJ L 173, 30.06.2016, p. 34-41	08-03-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 596/2014	Regulation (EU)No 596/2014 on insider dealing and market manipulation (MAR Market Abuse Regulation)	() Art. 4 (4)	RTS	DDG.C.3	Delegated Regulation (EU) 2016/909 on the content of notifications to be submitted to competent authorities and the compilation, publication and maintenance of the list of notifications	C(2016) 1224	OJ L 153, 10.06.2016, p. 13-22	01-03-16	
REG	(EU) No 596/2014	Regulation (EU)No 596/2014 on insider dealing and market manipulation (MAR Market Abuse Regulation)	() Art. 25 (9)	ITS	DDG.C.3	Implementing Regulation (EU) 2018/292 on procedures and forms for exchange of information and assistance between competent authorities	C(2018) 1063	OJ L 55, 27.02.2018, p. 34-49	26-02-18	
REG	(EU) No 596/2014	Regulation (EU)No 596/2014 on insider dealing and market manipulation (MAR Market Abuse Regulation)	() Art. 4 (5)	ITS	DDG.C.3	Implementing REGULATION (EU)2016/378 laying down implementing technical standards with regard to the timing, format and template of the submission of notifications to competent authorities	C(2016)1165	OJ L 72, 17.03.2016, p. 1-12	11-03-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 596/2014	Regulation (EU)No 596/2014 on insider dealing and market manipulation (MAR Market Abuse Regulation)	() Art. 33 (5)	ITS	DDG.C.3	Implementing Regulation (EU) 2017/1158 on procedures and forms for competent authorities exchanging information with ESMA	C/2017/4390	OJ L 167, 30.06.2017, p. 22-30	29-06-17	
REG	(EU) No 596/2014	Regulation (EU)No 596/2014 on insider dealing and market manipulation (MAR Market Abuse Regulation)	() Art. 24 (3)	ITS	DDG.C.3	IMPLEMENTING REG. on cooperation with ESMA				2019
REG	(EU) No 596/2014	Regulation (EU)No 596/2014 on insider dealing and market manipulation (MAR Market Abuse Regulation)	() Art. 19 (15)	ITS	DDG.C.3	Implementing Regulation (EU) 2016/523 on the format and template for notification and public disclosure of managers' transactions	C(2016)1088	OJ L 88, 05.04.2016, p. 19-22	10-03-16	
REG	(EU) No 596/2014	Regulation (EU)No 596/2014 on insider dealing and market manipulation (MAR Market Abuse Regulation)	() Art. 18 (9)	ITS	DDG.C.3	Implementing Regulation (EU) 2016/347 on the precise format of insider lists and for updating insider lists	C(2016)1409	OJ L 65, 11.03.2016, p. 49-55	10-03-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 596/2014	Regulation (EU)No 596/2014 on insider dealing and market manipulation (MAR Market Abuse Regulation)	() Art. 17 (10)	ITS	DDG.C.3	Implementing Regulation (EU)2016/1055 on the technical means for appropriate public disclosure of inside information and for delaying the public disclosure of inside information	C(2016) 3926	OJ L 173, 30.06.2016, p. 47-51	29-06-16	
REG	(EU) No 596/2014	Regulation (EU)No 596/2014 on insider dealing and market manipulation (MAR Market Abuse Regulation)	() Art. 11 (10)	ITS	DDG.C.3	Implementing Regulation (EU)2016/959 on market soundings with regard to the systems and notification templates to be used by disclosing market participants and the format of the records	C(2016) 2854	OJ L 160, 17.06.2016, p. 23-28	17-05-16	
REG	(EU) No 596/2014	Regulation (EU)No 596/2014 on insider dealing and market manipulation (MAR Market Abuse Regulation)	() Art. 32 (5)	IA	DDG.C.3	Implementing Directive (EU)2015/2392 on reporting to competent authorities of actual or potential infringements	C(2015) 9095	OJ L 332, 18.12.2015, p. 126-132	17-12-15	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 596/2014	Regulation (EU) No 596/2014 on insider dealing and market manipulation (MAR Market Abuse Regulation)	() Art. 6 (5), () Art. 12 (5), () Art. 17 (2), () Art. 17 (3), () Art. 19 (13), () Art. 19 (14)	DA	DDG.C.3	Delegated Regulation (EU) 2016/522 on an exemption for certain third countries public bodies and central banks, the indicators of market manipulation, the disclosure thresholds, the competent authority for notifications of delays, the permission for trading during closed periods and types of notifiable managers' transactions	C(2015) 8943	OJ L 88, 05.04.2016, p. 1-18	17-12-15	
REG	(EU) No 596/2014	Regulation (EU) No 596/2014 on insider dealing and market manipulation (MAR Market Abuse Regulation)	() Art. 11 (9)	RTS	DDG.C.3	Delegated Regulation (EU) 2016/960 on the appropriate arrangements, systems and procedures for disclosing market participants conducting market soundings	C(2016) 2859	OJ L 160, 17.06.2016, p. 29-33	17-05-16	
REG	(EU) No 600/2014	Regulation (EU) N° 600/2014 on markets in financial instruments (MIFIR)	Art. 1 (9)	DA	DDG.C.3	MiFIR central bank exemption	C(2019) 793	OJ L 80, 22.03.2019, p. 13-15	30-01-19	
REG	(EU) No 600/2014	Regulation (EU) N° 600/2014 on markets in financial instruments (MIFIR)	Art. 1 (9)	DA	DDG.C.3	MIFIR third-country central banks exemption for the People's Bank of China	C(2019)2082	OJ L 163, 20.06.2019, p. 56-58	14-03-19	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 600/2014	Regulation (EU) N° 600/2014 on markets in financial instruments (MIFIR)	Art. 4 (6), Art. 14 (7), Art. 22 (4), Art. 23 (3)	RTS	DDG.C.3	RTS on transparency requirements for trading venues and investment firms	C(2018) 8390	OJ L 77, 20.03.2019, p. 56-58	12-12-18	
REG	(EU) No 600/2014	Regulation (EU) N° 600/2014 on markets in financial instruments (MIFIR)	Art. 1 (9)	DA	DDG.C.3	DELEGATED REGULATION (EU) 2017/1799 supplementing Reg.(EU) 600/2014 on the exemption of certain third countries central banks in their performance of monetary, foreign exchange and financial stability policies from pre- and post-trade transparency requirements	C(2017)3890	OJ L 259, 07.10.2017, p. 11-13	12-06-17	
REG	(EU) No 600/2014	Regulation (EU) N° 600/2014 on markets in financial instruments (MIFIR)	Art. 9(6)	RTS	DDG.C.3	Delegated Regulation (EU) 2017/2194 on package orders	C(2017)5611	OJ L 312, 28.11.2017, p. 1-5	14-08-17	
REG	(EU) No 600/2014	Regulation (EU) N° 600/2014 on markets in financial instruments (MIFIR)	Art. 28 (4)	IA	DDG.C.3	Equivalence decision for third countries (Singapore)	C(2019)2349	OJ L 93, 02.04.2019, p. 18-24	01-04-19	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 600/2014	Regulation (EU) N° 600/2014 on markets in financial instruments (MIFIR)	Art. 28 (4)	IA	DDG.C.3	Implementing Decision (EU) 2017/2238 on the equivalence of the legal and supervisory framework applicable to designated contract markets and swap execution facilities in the United States of America	C(2017) 7974/	OJ L 320, 06.12.2017, p. 11-17	05-12-17	
REG	(EU) No 600/2014	Regulation (EU) N° 600/2014 on markets in financial instruments (MIFIR)	Art. 32 (1)	RTS	DDG.C.3	Delegated Regulation (EU) 2017/2417 on the trading obligation for certain derivatives	C(2017) 7684	OJ L 343, 22.12.2017, p. 48-53	17-11-17	
REG	(EU) No 600/2014	Regulation (EU) N° 600/2014 on markets in financial instruments (MIFIR)	Art. 30 (2)	RTS	DDG.C.2	Delegated Regulation (EU) 2017/2154 on indirect clearing arrangements	C(2017) 6268	OJ L 304, 21.11.2017, p. 6-12	22-09-17	
REG	(EU) No 600/2014	Regulation (EU) N° 600/2014 on markets in financial instruments (MIFIR)	Art. 37 (4)	RTS	DDG.C.3	Delegated Regulation (EU) 2016/2021 on access in respect of benchmarks	C(2016)3203	OJ L 313, 19.11.2016, p. 6-10	02-06-16	
REG	(EU) No 600/2014	Regulation (EU) N° 600/2014 on markets in financial instruments (MIFIR)	Art. 2 (2), Art. 13 (2), Art. 15 (5), Art. 17 (3), Art. 19 (2), Art. 19 (3), Art. 31 (4), Art. 40 (8), Art. 41 (8), Art. 42 (7), Art. 45 (10)	DA	DDG.C.3	Delegated Regulation (EU) 2017/567 on definitions, transparency, portfolio compression and supervisory measures on product intervention and positions	C(2016) 2860	OJ L 87, 31.03.2017, p. 90-116	18-05-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 600/2014	Regulation (EU) N° 600/2014 on markets in financial instruments (MIFIR)	Art. 26 (9)	RTS	DDG.C.3	Delegated Regulation (EU)2017/590 on the reporting of transactions to competent authorities	C(2016)4733	OJ L 87, 31.03.2017, p. 449-478	28-07-16	
REG	(EU) No 600/2014	Regulation (EU) N° 600/2014 on markets in financial instruments (MIFIR)	Art. 46 (7)	RTS	DDG.C.3	Delegated Regulation (EU) 2016/2022 on the information for registration of third- country firms and the format of information to be provided to the clients	C(2016)4415	OJ L 313, 19.11.2016, p. 11-13	14-07-16	
REG	(EU) No 600/2014	Regulation (EU) N° 600/2014 on markets in financial instruments (MIFIR)	Art. 12 (2)	RTS	DDG.C.3	Delegated Regulation (EU)2017/572 on the specification of the offering of pre-and post- trade data and the level of disaggregation of data	C(2016)3206	OJ L 87, 31.03.2017, p. 142-144	02-06-16	
REG	(EU) No 600/2014	Regulation (EU) N° 600/2014 on markets in financial instruments (MIFIR)	Art. 25 (3)	RTS	DDG.C.3	Delegated Regulation (EU)2017/580 on the maintenance of relevant data relating to orders in financial instruments	C(2016)3821	OJ L 87, 31.03.2017, p. 193-211	24-06-16	
REG	(EU) No 600/2014	Regulation (EU) N° 600/2014 on markets in financial instruments (MIFIR)	Art. 29 (3)	RTS	DDG.C.3	Delegated Regulation (EU)2017/582 specifying the obligation to clear derivatives traded on regulated markets and timing of acceptance for clearing	C(2016)3944	OJ L 87, 31.03.2017, p. 224-228	29-06-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 600/2014	Regulation (EU) N° 600/2014 on markets in financial instruments (MIFIR)	Art. 27 (3)	RTS	DDG.C.3	Delegated Regulation (EU)2017/585 on the data standards and formats for financial instrument reference data and technical measures in relation to arrangements to be made by ESMA and competent authorities	C(2016)4405	OJ L 87, 31.03.2017, p. 368-381	14-07-16	
REG	(EU) No 600/2014	Regulation (EU) N° 600/2014 on markets in financial instruments (MIFIR)	Art. 28 (5)	RTS	DDG.C.3	Delegated Regulation (EU)2017/579 on the direct, substantial and foreseeable effect of derivative contracts within the Union and the prevention of the evasion of rules and obligations	C(2016)3544	OJ L 87, 31.03.2017, p. 189-192	13-06-16	
REG	(EU) No 600/2014	Regulation (EU) N° 600/2014 on markets in financial instruments (MIFIR)	Art. 32 (6)	RTS	DDG.C.3	Delegated Regulation (EU) 2016/2020 on criteria for determining whether derivatives subject to the clearing obligation should be subject to the trading obligation	C(2016)2710	OJ L 313, 19.11.2016, p. 2-5	26-05-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 600/2014	Regulation (EU) N° 600/2014 on markets in financial instruments (MIFIR)	Art. 4 (6), Art. 7 (2), Art. 14 (7), Art. 20 (3), Art. 22 (4), Art. 23 (3)	RTS	DDG.C.3	Delegated Regulation (EU)2017/587 on transparency requirements for trading venues and investment firms in respect of shares, depository receipts, exchange-traded funds, certificates and other similar financial instruments and on transaction execution obligations in respect of certain shares on a trading venue or by a systematic internaliser	C(2016)4390	OJ L 87, 31.03.2017, p. 387-410	14-07-16	
REG	(EU) No 600/2014	Regulation (EU) N° 600/2014 on markets in financial instruments (MIFIR)	Art. 5 (9), Art. 22 (4)	RTS	DDG.C.3	Delegated Regulation (EU)2017/577 on the volume cap mechanism and the provision of information for the purposes of transparency and other calculations	C(2016)2711	OJ L 87, 31.03.2017, p. 174-182	13-06-16	
REG	(EU) No 600/2014	Regulation (EU) N° 600/2014 on markets in financial instruments (MIFIR)	Art. 35 (6), Art. 36 (6)	RTS	DDG.C.3	Delegated Regulation (EU)2017/581 on clearing access in respect of trading venues and central counterparties	C(2016)3807	OJ L 87, 31.03.2017, p. 212-223	24-06-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 600/2014	Regulation (EU) N° 600/2014 on markets in financial instruments (MIFIR)	Art. 1 (8), Art. 9 (5), Art. 11 (4), Art. 21 (5), Art. 22 (4)	RTS	DDG.C.3	Delegated Regulation (EU)2017/583 on transparency requirements for trading venues and investment firms in respect of bonds, structured finance products, emission allowances and derivatives	C(2016)4301	OJ L 87, 31.03.2017, p. 229-349	14-07-16	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 25, () Art. 82	DA	DDG.C.2	Delegated Act specifying the tiering criteria for third-country CCPs				2020
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 82	DA	DDG.C.2	Delegated Act on fees for third-country CCPs				2020
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 82	DA	DDG.C.2	Delegated regulation on comparable compliance for systemically important third-country Central Counterparties				2020
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 82	DA	DDG.C.2	Rules for supervision and imposition of fines on central counterparties by the European Securities and Markets Authority				2020

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 25 (6)	IA	DDG.C.2	Temporary equivalence decision under EMIR amending Implementing Decision (EU) 2018/2031	C(2019) 2661	OJ L 95, 04/04/2019, p. 9-10	03-04-19	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 25 (6)	IA	DDG.C.2	Temporary equivalence decision under EMIR	C(2018)9139	OJ L 325, 20/12/2018, p. 50	19-12-18	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 11 (15)	RTS	DDG.C.2	RTS margins for novations	C(2019)2530	OJ L99, 10/04/2019, p. 3-5	28-03-19	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 5 (2)	RTS	DDG.C.2	RTS clearing exemptions for novations	C(2019)2533	OJ L 99, 10.04.2019, p. 6-8	28-03-19	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 1 (6)	DA	DDG.C.2	Delegated Act on central bank exemption - EMIR	C(2019)791	OJ L 80, 22.03.2019, p. 8-9	30-01-19	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 11	RTS	DDG.C.2	Amendment to RTS as regards the date of application of risk-management procedures (Brexit preparedness)	C(2018)9118	OJ L 71, 13.03.2019, p. 15-17	19-12-18	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 5 (2)	RTS	DDG.C.2	Amendment to RTSs as regards the date at which the clearing obligation takes effect (Brexit preparedness)	C(2018)9122	OJ L 71, 13.03.2019, p. 11-14	19-12-18	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 5 (2)	RTS	DDG.C.2	Amendment to EMIR RTS 2015/2205, 2016/592 and 2016/1178 with regard to the clearing obligation for intragroup transactions	C(2018)9047	OJ L 113, 29.04.2019, p. 1-3	15-04-19	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 11 (15)	RTS	DDG.C.2	Delegated regulation amending Del. Regulation (EU) 2016/2251 on risk-management procedures, procedures for exemptions, criteria for impediments				2019
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	Art. 4(6)	RTS	DDG.C.2	Criteria for establishing which arrangements under covered bonds or securitisations adequately mitigate counterparty credit risk				2019
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 81 (5)	RTS	DDG.C.2	Revised RTS on the aggregation and publication of trade repository data				2019
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 11 (15)	RTS	DDG.C.2	Amended RTS on margins for FX derivatives				2019

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 56 (3)	RTS	DDG.C.2	Revised delegated regulation on application for registration	C(2018)8336	OJ L 81, 22.03.2019, p. 74-84	13-12-18	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 72 (3)	DA	DDG.C.2	Amended Delegated Act on fees for trade repositories				2020
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 11 (15)	RTS	DDG.C.2	Delegated Regulation (EU) 2017/323 correcting Del. Reg. (EU) 2016/2251 on risk-mitigation techniques for OTC derivative contracts not cleared by a central counterparty	C(2017)149	OJ L 49, 25.02.2017, p. 1-3	20-01-17	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 13 (2)	IA	DDG.C.2	Equivalence Decisions on transactions - JFSA (Japan)	C(2019)3221	OJ L 115, 02.05.2019, p. 11-15	25-04-19	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 85 (2)	DA	DDG.C.2	Delegated act on extension of exemption for pension scheme arrangements	C(2016)8542	OJ L 86, 31.03.2017, p. 3-4	20-12-16	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 41 (1)	RTS	DDG.C.2	Delegated Regulation (EU) 2016/822 amending Del. Reg. (EU) 153/2013 as regards the time horizons for the liquidation period to be considered for the different classes of financial instruments	C(2016) 2302	OJ L 137, 26.05.2016, p. 1-3	21-04-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 81 (5)	RTS	DDG.C.2	Delegated regulation amending Del. Reg. (EU) 151/2013 on the data to be published and made available by trade repositories and operational standards	C(2017)4408	OJ L 259, 07.10.2017, p. 14-17	29-06-17	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 81	RTS	DDG.C.2	Revised RTS on data to be published by trade repositories	C(2018)8335	OJ L 81, 22.03.2019, p. 69-73	13-12-18	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	Art. 2a()	IA	DDG.C.3	IMPLEMENTING DECISION (EU)2016/1073 on the equivalence of designated contract markets in the US	C(2016)3984	OJ L 178, 02.07.2016, p. 24-27	01-07-16	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	Art. 2a()	IA	DDG.C.3	IMPLEMENTING DECISION (EU)2016/2270 on the equivalence of approved exchanges in Singapore	C(2016) 8322	OJ L 342, 16.12.2016, p. 42-44	15-12-16	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	Art. 2a()	IA	DDG.C.3	IMPLEMENTING DECISION (EU)2016/2271 on the equivalence of financial instruments exchanges and commodity exchanges in Japan	C(2016) 8323	OJ L 342, 16.12.2016, p. 45-47	15-12-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	Art. 2a()	IA	DDG.C.3	IMPLEMENTING DECISION (EU)2016/2273 on the equivalence of recognised exchanges in Canada	C(2016) 8328	OJ L 342, 16.12.2016, p. 51-53	15-12-16	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	Art. 2a()	IA	DDG.C.3	IMPLEMENTING DECISION (EU)2016/2272 on the equivalence of financial markets in Australia	C(2016) 8327	OJ L 342, 16.12.2016, p. 48-50	15-12-16	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 25 (6)	IA	DDG.C.2	Implementing Decision (EU) 2015/2038 on the equivalence of the regulatory framework of the Republic of Korea for central counterparties	C(2015)7762	OJ L 298, 14.11.2015, p. 25-28	13-11-15	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 25 (6)	IA	DDG.C.2	Implementing Decision (EU) 2015/2041 on the equivalence of the regulatory framework of Mexico for central counterparties	C(2015)7772	OJ L 298, 14.11.2015, p. 38-41	13-11-15	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 25 (6)	IA	DDG.C.2	Implementing Decision (EU) 2015/2042 on the equivalence of the regulatory framework of Switzerland for central counterparties	C(2015)7775	OJ L 298, 14.11.2015, p. 42-45	13-11-15	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 25 (6)	IA	DDG.C.2	Implementing Decision (EU) 2015/2039 on the equivalence of the regulatory framework of South Africa for central counterparties	C(2015)7769	OJ L 298, 14.11.2015, p. 29-31	13-11-15	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 25 (6)	IA	DDG.C.2	Implementing Decision (EU) 2015/2040 on the equivalence of the regulatory framework of certain provinces of Canada for central counterparties	C(2015)1117	OJ L 298, 14.11.2015, p. 32-37	13-11-15	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 25 (6)	IA	DDG.C.2	Equivalence Decision (EU) 2016/2276 for CCPs - Brazil	C(2016) 8340	OJ L 342, 16.12.2016, p. 61-64	15-12-16	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 25 (6)	IA	DDG.C.2	Equivalence Decision (EU) 2016/2274 for CCPs - New Zealand	C(2016) 8332	OJ L 342, 16.12.2016, p. 54-56	15-12-16	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 25 (6)	IA	DDG.C.2	Equivalence Decision (EU) 2016/2278 for CCPs - United Arab Emirates	C(2016) 8352	OJ L 342, 16.12.2016, p. 68-70	15-12-16	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 25 (6)	IA	DDG.C.2	Equivalence Decision (EU) 2016/2269 for CCPs - India	C(2016) 8307	OJ L 342, 16.12.2016, p. 38-41	15-12-16	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 25 (6)	IA	DDG.C.2	Equivalence Decision (EU) 2016/2277 for CCPs - Dubai International Finance Center	C(2016) 8343	OJ L 342, 16.12.2016, p. 65-67	15-12-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 4 (4), () Art. 5 (1), () Art. 6 (4), () Art. 8 (5), () Art. 10 (4), () Art. 11 (14)	RTS	DDG.C.2	Delegated Regulation (EU) 2017/2155 amending Delegated Regulation (EU) No 149/2013 on indirect clearing arrangements	C(2017) 6270	OJ L 304, 21.11.2017, p. 13-19	22-09-17	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 9 (6)	ITS	DDG.C.2	Implementing Regulation (EU) 2017/105 amending Implementing Regulation (EU) No 1247/2012 on the format and frequency of trade reports to trade repositories	C(2016) 6801	OJ L 17, 21.01.2017, p. 17-41	26-10-16	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 25 (6)	IA	DDG.C.2	Implementing Decision (EU) 2016/377 on the equivalence of the regulatory framework of the United States of America for central counterparties that are authorised and supervised by the Commodity Futures Trading Commission	C(2016) 1494	OJ L 70, 16.03.2016, p. 32-37	15-03-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 5 (2)	RTS	DDG.C.2	DELEGATED REGULATION (EU) 2017/751 amending Delegated Regulations (EU) 2015/2205, (EU) 2016/592 and (EU) 2016/1178 as regards the deadline for compliance with clearing obligations for certain counterparties dealing with OTC derivatives	C(2017) 1658	OJ L 113, 29.04.2017, p. 15-17	16-03-17	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 5 (2)	RTS	DDG.C.2	Delegated Regulation (EU) 2016/1178 on the clearing obligation	C(2016) 3446	OJ L 195, 20.07.2016, p. 3-10	10-06-16	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 5 (2)	RTS	DDG.C.2	Delegated Regulation (EU) 2016/592 on the clearing obligation	C(2016) 1165	OJ L 103, 19.04.2016, p. 5-11	01-03-16	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 9 (5)	RTS	DDG.C.2	Delegated Regulation (EU) 2017/104 amending Delegated Regulation (EU) No 148/2013 on the minimum details of the data to be reported to trade repositories	C(2016) 6624	OJ L 17, 21.01.2017, p. 1-16	19-10-16	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 1 (6)	DA	DDG.C.2	Delegated Regulation (EU) 2017/979 amending Regulation (EU) No 648/2012 on the list of exempted entities	C(2017) 1324	OJ L 148, 10.06.2017, p. 1-2	02-03-17	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 13 (2)	IA	DDG.C.2	IMPLEMENTING DECISION (EU)2017/1857 on the recognition of the legal, supervisory and enforcement arrangements of the USA for derivatives transactions supervised by the CFTC as equivalent to certain requirements of Article 11 of Regulation (EU) No 648/2012	C(2017)6572	OJ L 265, 14.10.2017, p. 23-27	13-10-17	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 11 (15)	RTS	DDG.C.2	Delegated Regulation (EU)2016/2251 on risk-mitigation techniques for OTC derivative contracts not cleared by a central counterparty	C(2016) 6329	OJ L 340, 15.12.2016, p. 9-46	04-10-16	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 29 (5)	ITS	DDG.C.2	Impl. Reg. (EU)1249/2012 - Requirements for central counterparties		OJ L 352, 21.12.2012, p. 32-39	19-12-12	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 9 (6)	ITS	DDG.C.2	Impl. Reg. (EU)1247/2012 - Data to be reported to trade repositories		OJ L 352, 21.12.2012, p. 20-29	19-12-12	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 56 (4)	ITS	DDG.C.2	Impl. Reg. (EU)1248/2012 - Application for registration of trade repositories		OJ L 352, 21.12.2012, p. 30-31	19-12-12	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 5 (2)	RTS	DDG.C.2	Delegated Reg. (EU)2015/2205 on the clearing obligation	C(2015) 5390	OJ L 314, 01.12.2015, p. 13-21	06-08-15	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 18	RTS	DDG.C.2	Del. Reg (EU)876/2013 - Colleges for central counterparties		OJ L 244, 13.09.2013, p. 19-22	28-05-13	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 25 (6)	IA	DDG.C.2	Equivalence Decision (EU)2016/2275 for CCPs - Japan	C(2016) 8334	OJ L 342, 16.12.2016, p. 57-60	15-12-16	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 4 (4)	RTS	DDG.C.2	Del. Reg. (EU)285/2014 - Anti-evasion		OJ L 85, 21.03.2014, p. 1-3	13-02-14	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 25 (8), () Art. 26 (9), () Art. 29 (4), () Art. 34 (3), () Art. 41 (5), () Art. 42 (5), () Art. 44 (2), () Art. 45 (5), () Art. 46 (3), () Art. 47 (8), () Art. 49 (4)	RTS	DDG.C.2	Del. Reg. (EU)153/2013 - Requirements for central counterparties		OJ L 52, 23.02.2013, p. 41-74	19-12-12	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 4 (4), () Art. 5 (1), () Art. 6 (4), () Art. 8 (5), () Art. 10 (4), () Art. 11 (14)	RTS	DDG.C.2	Del.Reg. (EU)149/2013 - Indirect clearing arrangements, the clearing obligation, the public register, access to a trading venue, non-financial counterparties, risk mitigation techniques for OTC derivatives contracts not cleared by a CCP		OJ L 52, 23.02.2013, p. 11-24	19-12-12	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 9 (5)	RTS	DDG.C.2	Del.Reg. (EU)148/2013 - Data to be reported to trade repositories		OJ L 52, 23.02.2013, p. 1-10	19-12-12	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 81 (5)	RTS	DDG.C.2	Del. Reg. (EU) 151/2013 - Data to be made available by trade repositories		OJ L 52, 23.02.2013, p. 33-36	19-12-12	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 56 (3)	RTS	DDG.C.2	Del. Reg. (EU)150/2013 - Application for registration of trade repositories		OJ L 52, 23.02.2013, p. 25-32	19-12-12	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 16 (3)	RTS	DDG.C.2	Del. Reg. (EU)152/2013 - Capital requirements for central counterparties		OJ L 52, 23.02.2013, p. 37-40	19-12-12	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 85 (2)	DA	DDG.C.2	Del. Reg. (EU)2015/1515 on the extension of the transitional periods related to pension scheme arrangements	C(2015) 3680	OJ L 239, 15.09.2015, p. 63-64	05-06-15	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 72 (3)	DA	DDG.C.2	Del. Reg. (EU)1003/2013 - Fees to be charged to trade repositories		OJ L 279, 19.10.2013, p. 4-9	12-07-13	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 1 (6)	DA	DDG.C.2	Del. Reg. (EU)1002/2013 - Exemption of third-country central banks		OJ L 279, 19.10.2013, p. 2-3	12-07-13	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 64 (7)	DA	DDG.C.2	Del. Reg. (EU)667/2014 - Procedural rules to impose fines on trade repositories	C(2014) 1537,	OJ L 179, 19.06.2014, p. 31-35	13-03-14	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	Art. 50a(4), Art. 50c(3)	ITS	DDG.C.2	Implementing Reg (EU)484/2014 on the hypothetical capital of a central counterparty	C(2014)2964	OJ L 138, 13.05.2014, p. 57-64	12-05-14	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 25 (6)	IA	DDG.C.2	Implementing Decision 2014/753/EU on the equivalence of the regulatory framework of Singapore for central counterparties		OJ L 311, 31.10.2014, p. 58-61	30-10-14	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 25 (6)	IA	DDG.C.2	Implementing Decision 2014/752/EU on the equivalence of the regulatory framework of Japan for central counterparties		OJ L 311, 31.10.2014, p. 55-57	30-10-14	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 25 (6)	IA	DDG.C.2	Implementing Decision 2014/755/EU on the equivalence of the regulatory framework of Australia for central counterparties		OJ L 311, 31.10.2014, p. 66-68	30-10-14	
REG	(EU) No 648/2012	Regulation (EU) No 648/2012 on OTC derivatives, central counterparties and trade repositories (EMIR), as amended	() Art. 25 (6)	IA	DDG.C.2	Implementing Decision 2014/754/EU on the equivalence of the regulatory framework of Hong Kong for central counterparties		OJ L 311, 31.10.2014, p. 62-65	30-10-14	
REG	(EU) No 806/2014	Regulation (EU) No 806/2014 on Single Resolution Mechanism (SRM)	() Art. 65 (5)	DA	DDG.E.RTF	Delegated Regulation (EU) 2017/2361 on the final system of contributions to the administrative expenditures of the Single Resolution Board	C(2017)6047	OJ L 337, 19.12.2017, p. 6-14	14-09-17	
REG	(EU) No 806/2014	Regulation (EU) No 806/2014 on Single Resolution Mechanism (SRM)	() Art. 19 (8)	DA	DDG.E.RTF	Detailed rules of procedure concerning (a) the calculation of the interest rate to be applied in the event of a recovery decision in accordance with paragraph 5; (b) the guarantees of the right to good administration and the right of access to documents referred to in paragraph 5.				

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 806/2014	Regulation (EU) No 806/2014 on Single Resolution Mechanism (SRM)	() () Sec. 2 Art. 75 (4)	DA	DDG.E.RTF	Delegated Regulation (EU) 2016/451 on general principles and criteria for the investment strategy and rules for the administration of the Single Resolution Fund	C(2015)9013	OJ L 79, 30.03.2016, p. 2-9	16-12-15	
REG	(EU) No 806/2014	Regulation (EU) No 806/2014 on Single Resolution Mechanism (SRM)	() () Sec. 1 Art. 69 (5), () () Sec. 1 Art. 71 (3)	DA	DDG.E.RTF	DELEGATED REGULATION (EU) 2017/747 on the criteria relating to the calculation of ex-ante contributions, and on the circumstances and conditions under which the payment of extraordinary ex-post contributions may be partially or entirely deferred	C(2015)9016	OJ L 113, 29.04.2017, p. 2-8	17-12-15	
REG	(EU) No 909/2014	Regulation (EU) No 909/2014 on improving securities settlement in the European Union and on central securities depositories (CSDR)	() Sec. 5 Art. 25 (9)	IA	DDG.C.2	Temporary equivalence decision under CSDR amending Implementing Decision (EU) 2018/2030	C(2019) 2679	OJ L 95, 04/04/2019, p. 11-13	03-04-19	
REG	(EU) No 909/2014	Regulation (EU) No 909/2014 on improving securities settlement in the European Union and on central securities depositories (CSDR)	() Sec. 5 Art. 25 (9)	IA	DDG.C.2	Temporary equivalence decision under CSDR	C(2018)9138	OJ L 325, 20/12/2018, p. 47	19-12-18	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 909/2014	Regulation (EU) No 909/2014 on improving securities settlement in the European Union and on central securities depositories (CSDR)	() Art. 2 (2)	DA	DDG.C.2	DA on ancillary services listed in Section B and C of Annex				
REG	(EU) No 909/2014	Regulation (EU) No 909/2014 on improving securities settlement in the European Union and on central securities depositories (CSDR)	() Sec. 4 Art. 47 (3), () Art. 54 (8), () Art. 59 (5)	RTS	DDG.C.2	DELEGATED REGULATION (EU) 2017/390 on certain prudential requirements for central securities depositories and designated credit institutions offering banking-type ancillary services	C(2016) 7158	OJ L 65, 10.03.2017, p. 9-43	11-11-16	
REG	(EU) No 909/2014	Regulation (EU) No 909/2014 on improving securities settlement in the European Union and on central securities depositories (CSDR)	() Art. 9 (2)	RTS	DDG.C.2	DELEGATED REGULATION (EU) 2017/391 further specifying the content of the reporting on internalised settlements	C(2016) 7147	OJ L 65, 10.03.2017, p. 44-47	11-11-16	
REG	(EU) No 909/2014	Regulation (EU) No 909/2014 on improving securities settlement in the European Union and on central securities depositories (CSDR)	() Art. 9 (3)	ITS	DDG.C.2	IMPLEMENTING REGULATION (EU) 2017/393 on the templates and procedures for the reporting and transmission of information on internalised settlements	C(2016) 7155	OJ L 65, 10.03.2017, p. 116-144	11-11-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 909/2014	Regulation (EU) No 909/2014 on improving securities settlement in the European Union and on central securities depositories (CSDR)	() Art. 7 (14), () Sec. 4 Art. 24 (7)	DA	DDG.C.2	DELEGATED REGULATION (EU) 2017/389 supplementing Reg. (EU) No 909/2014 as regards the parameters for the calculation of cash penalties for settlement fails and the operations of CSDs in host Member States	C(2016) 7154	OJ L 65, 10.03.2017, p. 1-8	11-11-16	
REG	(EU) No 909/2014	Regulation (EU) No 909/2014 on improving securities settlement in the European Union and on central securities depositories (CSDR)	() Art. 6 (5), () Art. 7 (15)	RTS	DDG.C.2	Delegated Regulation (EU) 2018/1229 on settlement discipline	C(2018)3097	OJ L 230, 13.09.2018, p. 1-53	25-05-18	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 909/2014	Regulation (EU) No 909/2014 on improving securities settlement in the European Union and on central securities depositories (CSDR)	() Sec. 1 Art. 12 (3), () Sec. 2 Art. 17 (9), () Sec. 2 Art. 18 (4), () Sec. 3 Art. 22 (10), () Sec. 5 Art. 25 (12), () Sec. 1 Art. 26 (8), () Sec. 1 Art. 29 (3), () Sec. 2 Art. 33 (5), () Sec. 3 Art. 37 (4), () Sec. 4 Art. 45 (7), () Sec. 4 Art. 46 (6), () Sec. 5 Art. 48 (10), () Sec. 1 Art. 49 (5), () Sec. 2 Art. 52 (3), () Sec. 3 Art. 53 (4), () Art. 55 (7)	RTS	DDG.C.2	DELEGATED REGULATION (EU) 2017/392 supplementing Reg. (EU) No 909/2014 with regard to regulatory technical standards on authorisation, supervisory and operational requirements for central securities depositories	C(2016) 7159	OJ L 65, 10.03.2017, p. 48-115	11-11-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU) No 909/2014	Regulation (EU) No 909/2014 on improving securities settlement in the European Union and on central securities depositories (CSDR)	() Sec. 2 Art. 17 (10), () Sec. 3 Art. 22 (11), () Sec. 4 Art. 24 (8), () Sec. 1 Art. 29 (4), () Sec. 2 Art. 33 (6), () Sec. 1 Art. 49 (6), () Sec. 2 Art. 52 (4), () Sec. 3 Art. 53 (5), () Art. 55 (8)	ITS	DDG.C.2	IMPLEMENTING REGULATION (EU) 2017/394 on standard forms, templates and procedures for authorisation, review and evaluation of central securities depositories, for the cooperation between authorities of the home Member State and the host Member State, for the consultation of authorities involved in the authorisation to provide banking-type ancillary services, for access involving central securities depositories, and on the format of the records to be maintained by central securities depositories	C(2016) 7160	OJ L 65, 10.03.2017, p. 145-206	11-11-16	
REG	(EU)1024/2013	Regulation - (EU)1024/2013 conferring specific tasks on the ECB concerning policies relating to the prudential supervision of credit institutions (SSM regulation)	Art. 26 (3)	IA	DDG.D.1	Decision - 2013/797/EU - conferring specific tasks on the European Central Bank concerning policies relating to the prudential supervision of credit institutions		OJ L 352, 24.12.2013, p. 50-50	16-12-13	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU)1024/2013	Regulation - (EU)1024/2013 conferring specific tasks on the ECB concerning policies relating to the prudential supervision of credit institutions (SSM regulation)	Art. 26 (3)	IA	DDG.D.1	Implementing Decision 2014/77/EU on specific tasks ECB		OJ L 41, 12.02.2014, p. 19-19	11-02-14	
REG	(EU)236/2012	Regulation (EU) No 236/2012 on short selling and certain aspects of Credit Default Swaps (SSR)	() Art. 2 (2), () Art. 3 (7), () Art. 4 (2), () Art. 7 (3), () Art. 13 (4), () Sec. 1 Art. 23 (7), () Sec. 2 Art. 30	DA	DDG.C.3	Delegated Reg.(EU)918/2012 on definitions, the calculation of net short positions, covered sovereign credit default swaps, notification thresholds, liquidity thresholds for suspending restrictions, significant falls in the value of financial instruments and adverse events		OJ L 274, 09.10.2012, p. 1-15	05-07-12	
REG	(EU)236/2012	Regulation (EU) No 236/2012 on short selling and certain aspects of Credit Default Swaps (SSR)	() Sec. 1 Art. 23 (8)	RTS	DDG.C.3	Delegated Reg. (EU)919/2012 on regulatory technical standards for the method of calculation of the fall in value for liquid shares and other financial instruments		OJ L 274, 09.10.2012, p. 16-17	05-07-12	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU)236/2012	Regulation (EU) No 236/2012 on short selling and certain aspects of Credit Default Swaps (SSR)	() Art. 9 (6), () Art. 11 (4), () Art. 12 (2), () Art. 13 (5), () Art. 16 (4)	ITS	DDG.C.3	Implementing Reg.(EU)827/2012 on the means for public disclosure of net position in shares, the format of the information to be provided to ESMA in relation to net short positions, the types of agreements, arrangements and measures to adequately ensure that shares or sovereign debt instruments are available for settlement and the dates and period for the determination of the principal venue for a share		OJ L 251, 18.09.2012, p. 11-18	29-06-12	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU)236/2012	Regulation (EU) No 236/2012 on short selling and certain aspects of Credit Default Swaps (SSR)	() Art. 9 (5), () Art. 11 (3), () Art. 16 (3)	RTS	DDG.C.3	Delegated Reg. (EU)826/2012 on notification and disclosure requirements with regard to net short positions, the details of the information to be provided to ESMA in relation to net short positions and the method for calculating turnover to determine exempted shares		OJ L 251, 18.09.2012, p. 1-10	29-06-12	
REG	(EU)345/2013	Regulation (EU) No 345/2013 on European venture capital funds (EuVECA) as amended by Regulation (EU)2017/1991	Art. 16a(3)	ITS	DDG.C.4	Standard forms, templates and procedures for information by competent authorities to ESMA				
REG	(EU)345/2013	Regulation (EU) No 345/2013 on European venture capital funds (EuVECA) as amended by Regulation (EU)2017/1991	Art. 16a(2)	RTS	DDG.C.4	Final information competent authorities on granted registration				
REG	(EU)345/2013	Regulation (EU) No 345/2013 on European venture capital funds (EuVECA) as amended by Regulation (EU)2017/1991	Art. 14a(9)	ITS	DDG.C.4	Standard forms, templates and procedures for information to the competent authorities in the application for registration				

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU)345/2013	Regulation (EU) No 345/2013 on European venture capital funds (EuVECA) as amended by Regulation (EU)2017/1991	Art. 14a(8)	RTS	DDG.C.4	Registration managers of collective investment undertakings - information to be provided				
REG	(EU)345/2013	Regulation (EU) No 345/2013 on European venture capital funds (EuVECA) as amended by Regulation (EU)2017/1991	Art. 14(8)	ITS	DDG.C.4	Standard forms, templates and procedures for information to the competent authorities in the application for registration				
REG	(EU)345/2013	Regulation (EU) No 345/2013 on European venture capital funds (EuVECA) as amended by Regulation (EU)2017/1991	Art. 14(7)	RTS	DDG.C.4	Registration managers of qualifying venture capital funds - information to be provided				
REG	(EU)345/2013	Regulation (EU) No 345/2013 on European venture capital funds (EuVECA) as amended by Regulation (EU)2017/1991	() Art. 16 (3)	ITS	DDG.C.4	Impl. Reg. (EU)593/2014 - Format of notification		OJ L 165, 04.06.2014, p. 41-43	03-06-14	
REG	(EU)345/2013	Regulation (EU) No 345/2013 on European venture capital funds (EuVECA) as amended by Regulation (EU)2017/1991	() Art. 9 (5)	DA	DDG.C.4	Commission delegated regulation supplementing Regulation (EU) No 345/2013 of the European Parliament and of the Council with regard to conflicts of interest in the area of European venture capital funds	C(2019)664	OJ L 134, 22.05.2019, p. 8-11	04-02-19	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU)346/2013	Regulation (EU) No 346/2013 on European social entrepreneurship funds (EuSEFs) as amended by Regulation (EU)2017/1991	Art. 17a(3)	ITS	DDG.C.4	Standard forms, templates and procedures for final information on granted registration by competent authorities to ESMA				
REG	(EU)346/2013	Regulation (EU) No 346/2013 on European social entrepreneurship funds (EuSEFs) as amended by Regulation (EU)2017/1991	Art. 17a(2)	RTS	DDG.C.4	Final information on granted registration by competent authorities to ESMA				
REG	(EU)346/2013	Regulation (EU) No 346/2013 on European social entrepreneurship funds (EuSEFs) as amended by Regulation (EU)2017/1991	Art. 15a(9)	ITS	DDG.C.4	Standard forms, templates and procedures for information to the competent authorities for registration				
REG	(EU)346/2013	Regulation (EU) No 346/2013 on European social entrepreneurship funds (EuSEFs) as amended by Regulation (EU)2017/1991	Art. 15a(8)	RTS	DDG.C.4	Registration managers of collective investment undertakings - Information to be provided				
REG	(EU)346/2013	Regulation (EU) No 346/2013 on European social entrepreneurship funds (EuSEFs) as amended by Regulation (EU)2017/1991	Art. 15(8)	ITS	DDG.C.4	Standard forms, templates and procedures for information to the competent authorities for registration				
REG	(EU)346/2013	Regulation (EU) No 346/2013 on European social entrepreneurship funds (EuSEFs) as amended by Regulation (EU)2017/1991	Art. 15(7)	RTS	DDG.C.4	Registration managers of qualifying social entrepreneurship funds - Information to be provided				

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
REG	(EU)346/2013	Regulation (EU) No 346/2013 on European social entrepreneurship funds (EuSEFs) as amended by Regulation (EU)2017/1991	() Art. 17 (3)	ITS	DDG.C.4	Impl. Reg. (EU)594/2014 - Notification by the home MS to the MSs indicated in Article 17(1)(d) and to ESMA: to determine the format of the notification.		OJ L 165, 04.06.2014, p. 44-46	03-06-14	
REG	(EU)346/2013	Regulation (EU) No 346/2013 on European social entrepreneurship funds (EuSEFs) as amended by Regulation (EU)2017/1991	() Art. 3 (2), () Art. 9 (5), () Art. 10 (2), () Art. 14 (4)	DA	DDG.C.4	Commission delegated regulation supplementing Regulation (EU) No 346/2013 with regard to conflicts of interest, social impact measurement and information to investors in the area of European social entrepreneurship funds	C(2019)669	OJ L 134, 22.05.2019, p. 1-7	01-02-19	
DIR	2002/87/EC	Directive 2002/87/EC on the supplementary supervision of credit institutions, insurance undertakings and investment firms in a financial conglomerate (FICOD)	Art. 21a(2)c, Art. 8(2)	ITS	DDG.D.2	Implementing technical standards on the reporting of intra-group transactions under Article 8 (2) of Regulation (EU) n° 2002/87				2019
DIR	2003/41/EC	Directive 2003/41/EC on Occupational pensions as amended by Directive 2010/78/EU (IORP)	Art. 20(11)	ITS	DDG.D.4	(EU)643/2014 - Reporting of national provisions of prudential nature in the field of occupational pension schemes		OJ L 177, 17.06.2014, p. 34-41	16-06-14	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2003/6/EC	Directive - 2003/6/EC on insider dealing and market manipulation (Market Abuse Directive)	Art. 1(1), Art. 1(2)a, Art. 6(10)	IA	DDG.C.3	Implementing Dir. 2004/72/EC on accepted market practices, the definition of inside information in relation to derivatives on commodities, the drawing up of lists of insiders, the notification of managers' transactions and the notification of suspicious transactions		OJ L 162, 30.04.2004, p. 70-75	29-04-04	
DIR	2003/6/EC	Directive - 2003/6/EC on insider dealing and market manipulation (Market Abuse Directive)	Art. 1(2), Art. 6(10)	IA	DDG.C.3	Implementing Dir. 2003/124/EC on the definition and public disclosure of inside information and the definition of market manipulation		OJ L 339, 24.12.2003, p. 70-72	22-10-03	
DIR	2003/6/EC	Directive - 2003/6/EC on insider dealing and market manipulation (Market Abuse Directive)	Art. 6(10)	IA	DDG.C.3	Implementing Dir. 2003/125/EC on the fair presentation of investment recommendations and the disclosure of conflicts of interest		OJ L 339, 24.12.2003, p. 73-77	22-12-03	
DIR	2003/6/EC	Directive - 2003/6/EC on insider dealing and market manipulation (Market Abuse Directive)	Art. 8()	IA	DDG.C.3	Implementing Reg.(EC)2273/2003 on exemptions for buy-back programmes and stabilisation of financial instruments		OJ L 336, 23.12.2003, p. 33-38	22-12-03	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2003/71/EC	Directive 2003/71/EC on the prospectus to be published when securities are issued (PD- Prospectus Directive)	() Art. 5 (5), () Art. 7 (1)	DA	DDG.C.3	Delegated Reg.(EU)486/2012 amending Reg. (EC)809/2004 on the format and the content of the prospectus, the base prospectus, the summary and the final terms and the disclosure requirements		OJ L 150, 09.06.2012, p. 1-65	30-03-12	
DIR	2003/71/EC	Directive 2003/71/EC on the prospectus to be published when securities are issued (PD- Prospectus Directive)	() Art. 5 (5), () Art. 7 (1)	DA	DDG.C.3	Delegated Reg. (EU)862/2012 amending Reg. (EC)809/2004 on information on the consent to use of the prospectus, information on underlying indexes and the requirement for a report prepared by independent accountants or auditors		OJ L 256, 22.09.2012, p. 4-13	04-06-12	
DIR	2003/71/EC	Directive 2003/71/EC on the prospectus to be published when securities are issued (PD- Prospectus Directive)	() Art. 7 (1)	DA	DDG.C.3	Delegated Reg.(EU)759/2013 on disclosure requirements for convertible and exchangeable debt securities		OJ L 213, 08.08.2013, p. 1-9	30-04-13	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2003/71/EC	Directive 2003/71/EC on the prospectus to be published when securities are issued (PD- Prospectus Directive)	() Art. 16	RTS	DDG.C.3	Delegated Reg.(EU)382/2014 on regulatory technical standards for publication of supplements to the prospectus		OJ L 111, 15.04.2014, p. 36-39	07-03-14	
DIR	2003/71/EC	Directive 2003/71/EC on the prospectus to be published when securities are issued (PD- Prospectus Directive)	() Art. 11, () Art. 13, () Art. 14, () Art. 15	RTS	DDG.C.3	Delegated Reg. (EU) 2016/301 on standards for approval and publication of the prospectus and dissemination of advertisements and amending Commission Regulation (EC) No 809/2004	C(2015) 8379/	OJ L 58, 04.03.2016, p. 13-20	30-11-15	
DIR	2003/71/EC	Directive 2003/71/EC on the prospectus to be published when securities are issued (PD- Prospectus Directive)	() Art. 7 (1)	DA	DDG.C.1	Delegated Regulation (EU) 2015/1604 amending Regulation (EC) No 809/2004 implementing Directive 2003/71/EC as regards elements related to prospectuses and advertisements	C(2015) 3834	OJ L 249, 25.09.2015, p. 1-2	12-06-15	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2003/71/EC	Directive 2003/71/EC on the prospectus to be published when securities are issued (PD- Prospectus Directive)	() Art. 5 (5), () Art. 7, () Art. 10 (4), () Art. 11 (3), () Art. 14 (8), () Art. 15 (7)	IA	DDG.C.3	Implementing Reg. (EC)809/2004 on information contained in prospectuses as well as the format, incorporation by reference and publication of such prospectuses and dissemination of advertisements		OJ L 149, 30.04.2004, p. 1-123	29-04-04	
DIR	2003/71/EC	Directive 2003/71/EC on the prospectus to be published when securities are issued (PD- Prospectus Directive)	() Art. 5 (5)	IA	DDG.C.3	Implementing Reg. (EC)211/2007 amending Reg.(EC) 809/2004 on financial information in prospectuses where the issuer has a complex financial history or has made a significant financial commitment		OJ L 61, 28.02.2007, p. 24-27	27-02-07	
DIR	2003/71/EC	Directive 2003/71/EC on the prospectus to be published when securities are issued (PD- Prospectus Directive)	() Art. 7 (1)	DA	DDG.C.3	Delegated Reg.(EU)311/2012 amending Reg.(EC)809/2004 on elements related to prospectuses and advertisements		OJ L 103, 13.04.2012, p. 13-14	21-12-11	
DIR	2003/71/EC	Directive 2003/71/EC on the prospectus to be published when securities are issued (PD- Prospectus Directive)	() Art. 7 (1)	IA	DDG.C.3	Implementing REG. (EC)1289/2008 amending Reg. (EC)809/2004 on elements related to prospectuses and advertisements		OJ L 340, 19.12.2008, p. 17-19	12-12-08	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2003/71/EC	Directive 2003/71/EC on the prospectus to be published when securities are issued (PD- Prospectus Directive)	() Art. 5 (5), () Art. 7, () Art. 11 (3), () Art. 14 (8), () Art. 15 (7)	IA	DDG.C.3	Delegated Reg. (EU)621/2013 correcting the Polish version of Regulation (EC)809/2004		OJ L 177, 28.06.2013, p. 14-14	21-03-13	
DIR	2003/71/EC	Directive 2003/71/EC on the prospectus to be published when securities are issued (PD- Prospectus Directive)	() Art. 7 (1)	IA	DDG.C.3	Implementing Reg.(EC)1787/2006 amending Reg. (EC)809/2004 on information contained in prospectuses as well as the format, incorporation by reference and publication of such prospectuses and dissemination of advertisements		OJ L 314, 01.12.2007, p. 17-20	04-12-06	
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() Art. 4	RTS	DDG.C.1	Amending RTS on the European Single Electronic Format (ESEF)				2019
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() Art. 23 (4)	DA	DDG.C.1	COMMISSION DELEGATED [ACT TYPE] .../... on measures concerning the assessment of standards relevant to the issuers of more than one country				

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() Art. 21 (4)	DA	DDG.C.1	COMMISSION DELEGATED [ACT TYPE] .../... specifying and updating the list of media for the dissemination of information to the public				
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() Art. 23 (7)	DA	DDG.C.1	COMMISSION DELEGATED [ACT TYPE] .../... establishing general equivalence criteria for the purposes of the first subparagraph of Article 23(7) of Directive 2004/109/EC				
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() Art. 23 (5)	DA	DDG.C.1	COMMISSION DELEGATED [ACT TYPE] .../... specifying the requirements laid down in Article 23(2) of Directive 2004/109/EC defining the type of information disclosed in a third country that is of importance to the public in the Union				

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() Art. 23 (4)	DA	DDG.C.1	COMMISSION DELEGATED REGULATION (EU) No 310/2012 amending Regulation (EC) 1569/2007 establishing a mechanism for the determination of equivalence of accounting standards applied by third country issuers of securities		OJ L 103, 13.04.2012, p. 11-12	21-12-11	
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() Art. 23 (4)	DA	DDG.C.1	COMMISSION REGULATION (EC) No 1569/2007 establishing a mechanism for the determination of equivalence of accounting standards applied by third country issuers of securities		OJ L 340, 22.12.2007, p. 66-68	21-12-07	
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() Art. 23 (4)	IA	DDG.C.1	COMMISSION IMPLEMENTING DECISION 2012/194/EU amending Decision 2008/961/EC on the use by third countries' issuers of securities of certain third country's national accounting standards and IFRS to prepare their consolidated financial statements	C(2012) 2256	OJ L 103, 13.04.2012, p. 49-50	11-04-12	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() Art. 23 (4)	RPS	DDG.C.1	COMMISSION DECISION 2008/961/EC on the use by third countries' issuers of securities of certain third country's national accounting standards and IFRS to prepare their consolidated financial statements	C(2008) 8218	OJ L 340, 19.12.2008, p. 112-114	12-12-08	
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() Art. 23 (4)	IA	DDG.C.1	COMMISSION IMPLEMENTING [ACT TYPE] .../... setting up a mechanism ensuring the establishment of equivalence of information required under Directive 2004/109/EC				
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() Art. 21 (4)	DA	DDG.C.1	COMMISSION DELEGATED [ACT TYPE] .../... on rules to ensure the interoperability of the information and communication technologies used by the mechanisms referred to in Article 21(2) of Directive 2004/109/EC and access to regulated information at the Union level				

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() Art. 21 (4)	DA	DDG.C.1	COMMISSION DELEGATED [ACT TYPE] .../... specifying minimum standards for the central storage mechanisms as referred to in Article 21(2) of Directive 2004/109/EC				
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() Art. 19 (4)	DA	DDG.C.1	DELEGATED [ACT TYPE] .../... specifying the requirements laid down in Art. 19(1), (2) and (3) of Dir. 2004/109/EC and the procedure to file information with the competent authority of the home Member State				

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() II Art. 18 (5)	DA	DDG.C.1	DELEGATED [ACT TYPE] .../... taking account of technical developments on financial markets, of developments in information and communication technology and specifying the requirements laid down in Art. 18(1) to (4) of Directive 2004/109/EC and specifying the types of financial institution through which a debt security holder may exercise the financial rights provided for in Art. 18(2)(c)				

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() II Art. 17 (4)	DA	DDG.C.1	DELEGATED [ACT TYPE] .../... taking account of technical developments on financial markets, of developments in information and communication technology and specifying the requirements laid down in Art. 17(1), (2) and (3) of Dir. 2004/109/EC and specifying the types of financial institution through which a shareholder may exercise the financial rights				
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() I Art. 12	ITS	DDG.C.1	COMMISSION IMPLEMENTING [ACT TYPE] .../... establishing standard forms, templates and procedures to be used when notifying the required information to the issuer or when filing information (shares)				

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() I Art. 9 (7)	IA	DDG.C.1	COMMISSION IMPLEMENTING [ACT TYPE] .../... on a list of events referred to in Article 9(2) of Directive 2004/109/EC				
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() I Art. 9 (7)	DA	DDG.C.1	COMMISSION DELEGATED [ACT TYPE] .../... taking account of technical developments on financial markets and specifying requirements laid down in Article 9(2), (4) and (5) of Directive 2004/109/EC				
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() Art. 5 (6)	DA	DDG.C.1	COMMISSION DELEGATED [ACT TYPE] .../... adapting the period referred to in Article 5(1) of Directive 2004/109/EC				
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() Art. 5 (6)	DA	DDG.C.1	COMMISSION DELEGATED [ACT TYPE] .../... clarifying the nature of the auditors' review				

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() Art. 5 (6)	IA	DDG.C.1	COMMISSION IMPLEMENTING [ACT TYPE] .../... specifying technical conditions under which a published half-yearly report, including the auditors' review, is to remain available to the public				
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() Art. 4 (6)	IA	DDG.C.1	IMPLEMENTING [ACT TYPE] .../... taking account of technical developments in financial markets and on the uniform application of Art. 4(1) of Dir.2004/109/EC and specifying the technical conditions under which a published annual financial report, including the audit report, is to remain available to the public				
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() Art. 2 (3)	IA	DDG.C.1	COMMISSION IMPLEMENTING [ACT TYPE] .../... establishing an indicative list of means which are not to be considered as electronic means				

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() Art. 2 (3)	DA	DDG.C.1	COMMISSION DELEGATED [ACT TYPE] .../... on the adjustment of the three-year period in relation to the issuer's track record				
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() Art. 2 (3)	DA	DDG.C.1	COMMISSION DELEGATED [ACT TYPE] .../... on the procedural arrangements in accordance with which an issuer may make the choice of home Member States				
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() Art. 2 (3)	DA	DDG.C.1	COMMISSION DELEGATED [ACT TYPE] .../... taking account of technical developments on financial markets and on the uniform application of definitions set out in Article 2(1) of Directive 2004/109/EC				

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() I Art. 13	ITS	DDG.C.1	COMMISSION IMPLEMENTING [ACT TYPE] .../... establishing standard forms, templates and procedures to be used when notifying the required information to the issuer or when filing information (financial instruments)				
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() Art. 2 (3), () Art. 5 (6), () Art. 5 (6), () I Art. 9 (7), () I Art. 12 (8), () I Art. 12 (8), () I Art. 12 (8), () I Art. 12 (8), () I Art. 13 (2), () I Art. 14 (2), () Art. 21 (4), () Art. 23 (4), () Art. 23 (7)	RPS	DDG.C.1	COMMISSION DIRECTIVE 2007/14/EC laying down detailed rules for the implementation of certain provisions of Directive 2004/109/EC (Implementing Directive of the TD)		OJ L 69, 09.03.2007, p. 27-36	08-03-07	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() Art. 23 (4)	DA	DDG.C.1	COMMISSION DELEGATED REGULATION (EU) 2015/1605 amending Regulation (EC) No 1569/2007 establishing a mechanism for the determination of equivalence of accounting standards applied by third country issuers	C(2015) 6369	OJ L 249, 25.09.2015, p. 3-4	12-06-15	
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() Art. 23 (4)	IA	DDG.C.1	COMMISSION IMPLEMENTING DECISION (EU) 2015/1612 on the use by third countries' issuers of certain third country's national accounting standards and IFRS to prepare their consolidated financial statements	C(2015) 6369	OJ L 249, 25.09.2015, p. 26-27	23-09-15	
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	Art. 4(7)	RTS	DDG.C.1	COMMISSION DELEGATED REGULATION (EU) .../... on european single electronic format (ESEF)	C(2018)8612	OJ L 143, 29.05.2019, p. 1-792	17-12-18	
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() Art. 22 (2)	RTS	DDG.C.1	COMMISSION DELEGATED REGULATION (EU) 2016/1437 on access to regulated information at Union level (EEAP)	C(2016) 2887	OJ L 234, 31.08.2016, p. 1-7	19-05-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2004/109/EC	Directive 2004/109/EC - Transparency Directive	() I Art. 9, () I Art. 13, () I Art. 13	RTS	DDG.C.1	COMMISSION DELEGATED REGULATION (EU) 2015/761 with regard to certain regulatory technical standards on major holdings		OJ L 120, 13.05.2015, p. 2-5	17-12-14	
DIR	2004/39/EC	Directive 2004/39/EC on markets in financial instruments (MiFID1) as amended by Directive 2010/78/EU (Omnibus I)	Art. 10a(8)MIFID I, Art. 12(9)MIFID II	ITS	DDG.C.3	Implementing Regulation (EU) 2017/1944 on standard forms, templates and procedures for the consultation process between relevant competent authorities in relation to the notification of a proposed acquisition of a qualifying holding in an investment firm	C(2017)3897	OJ L 276, 26.10.2017, p. 12-21	13-06-17	
DIR	2004/39/EC	Directive 2004/39/EC on markets in financial instruments (MiFID1) as amended by Directive 2010/78/EU (Omnibus I)	Art. 10a(8)MIFID I, Art. 12(8)MIFID II	RTS	DDG.C.3	DELEGATED REG. (EU) 2017/1946 on exhaustive list of information to be included by proposed acquirers in the notification of a proposed acquisition of a qualifying holding in an investment firm	C(2017)4644	OJ L 276, 26.10.2017, p. 32-43	11-07-17	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2004/39/EC	Directive 2004/39/EC on markets in financial instruments (MiFID1) as amended by Directive 2010/78/EU (Omnibus I)	() Art. 58 (4)	IA	DDG.C.3	Implementing Reg. (EC)1287/2006 on record-keeping obligations for investment firms, transaction reporting, market transparency, admission of financial instruments to trading, and defined terms for the purposes of Dir. 2004/39/EC		OJ L 322, 02.12.2008, p. 1-25	10-08-06	
DIR	2004/39/EC	Directive 2004/39/EC on markets in financial instruments (MiFID1) as amended by Directive 2010/78/EU (Omnibus I)	() Art. 4 (2), () Art. 13 (10), () Sec. 1 Art. 18 (3), () Sec. 2 Art. 19 (10), () Sec. 2 Art. 21 (6), () Sec. 2 Art. 22 (3), () Sec. 2 Art. 24 (5)	IA	DDG.C.3	Implementing Dir. 2006/73/EC on organisational requirements and operating conditions for investment firms and defined terms for the purposes of Dir. 2004/39/EC		OJ L 241, 02.09.2006, p. 26-58	10-08-06	
DIR	2006/43/EC	Directive 2006/43/EC on statutory audits of annual accounts and consolidated accounts (Statutory Audit)	() Art. 46 (2)	IA	DDG.C.1	(EU) 2016/1155: Commission Implementing Decision on the equivalence of the systems of public oversight, quality assurance and investigations and penalty systems of the United States of America	C (2016) 4363	OJ L 190, 15.07.2016, p. 80-82	14-07-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2006/43/EC	Directive 2006/43/EC on statutory audits of annual accounts and consolidated accounts (Statutory Audit)	() Art. 47 (3)	IA	DDG.C.1	(EU) 2016/1156: Commission Implementing Decision on the adequacy of the competent authorities of the United States of America	C (2016)4364	OJ L 190, 15.07.2016, p. 83-86	14-07-16	
DIR	2006/43/EC	Directive 2006/43/EC on statutory audits of annual accounts and consolidated accounts (Statutory Audit)	() Art. 46 (2)	IA	DDG.C.1	2011/30/EU: Commission Decision on the equivalence of certain third country public oversight, quality assurance, investigation and penalty systems for auditors and audit entities and a transitional period for audit activities of certain third country auditors and audit entities in the European Union		OJ L 15, 20.01.2011, p. 12-16	19-01-11	
DIR	2006/43/EC	Directive 2006/43/EC on statutory audits of annual accounts and consolidated accounts (Statutory Audit)	() Art. 47 (3)	IA	DDG.C.1	2010/64/EU: Commission Decision on the adequacy of the competent authorities of certain third countries (Canada, Japan, Switzerland)		OJ L 35, 06.02.2010, p. 15-17	05-02-10	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2006/43/EC	Directive 2006/43/EC on statutory audits of annual accounts and consolidated accounts (Statutory Audit)	() Art. 47 (3)	IA	DDG.C.1	2010/485/EU: Commission Decision on the adequacy of the competent authorities of Australia (and the United States)		OJ L 240, 11.09.2010, p. 6-9	01-09-10	
DIR	2006/43/EC	Directive 2006/43/EC on statutory audits of annual accounts and consolidated accounts (Statutory Audit)	() Art. 46 (2)	IA	DDG.C.1	(EU) 2016/1223: Implementing Decision amending Decision 2011/30/EU on the equivalence of certain third country public oversight, quality assurance, investigation and penalty systems for auditors and audit entities and a transitional period for audit activities of certain third country auditors and audit entities in the EU	C (2016) 4637	OJ L 201, 27.07.2016, p. 23-25	25-07-16	
DIR	2006/43/EC	Directive 2006/43/EC on statutory audits of annual accounts and consolidated accounts (Statutory Audit)	() Art. 47 (3)	IA	DDG.C.1	(EU) 2016/1010: Commission Implementing Decision on the adequacy of the competent authorities of certain third countries	C (2016) 3727	OJ L 165, 23.06.2016, p. 17-22	21-06-16	
DIR	2006/43/EC	Directive 2006/43/EC on statutory audits of annual accounts and consolidated accounts (Statutory Audit)	() Art. 47 (3)	DA	DDG.C.1	Establishment of general adequacy criteria				

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2006/43/EC	Directive 2006/43/EC on statutory audits of annual accounts and consolidated accounts (Statutory Audit)	() Art. 45 (6)	DA	DDG.C.1	Equivalence criteria of the standards and requirements in the third country				
DIR	2006/43/EC	Directive 2006/43/EC on statutory audits of annual accounts and consolidated accounts (Statutory Audit)	() Art. 46 (2)	DA	DDG.C.1	Equivalence criteria of the systems of public oversight, quality assurance and investigations and penalties in the third country				
DIR	2006/43/EC	Directive 2006/43/EC on statutory audits of annual accounts and consolidated accounts (Statutory Audit)	() Art. 26 (3)	DA	DDG.C.1	Adoption of International Standards on Auditing				
DIR	2006/43/EC	Directive 2006/43/EC on statutory audits of annual accounts and consolidated accounts (Statutory Audit)	() Art. 47 (3)	IA	DDG.C.1	2013/280/EU: Commission Implementing Decision on the adequacy of the competent authorities of the United States of America		OJ L 161, 13.06.2013, p. 4-7	11-06-13	
DIR	2006/43/EC	Directive 2006/43/EC on statutory audits of annual accounts and consolidated accounts (Statutory Audit)	() Art. 46 (2)	IA	DDG.C.1	2013/281/EU: Commission Implementing Decision on the equivalence of the public oversight, quality assurance, investigation and penalty systems for auditors and audit entities of the United States of America		OJ L 161, 13.06.2013, p. 8-9	11-06-13	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2006/43/EC	Directive 2006/43/EC on statutory audits of annual accounts and consolidated accounts (Statutory Audit)	() Art. 46 (2)	IA	DDG.C.1	2013/288/EU: Commission Implementing Decision amending Decision 2011/30/EU on the equivalence of certain third country public oversight, quality assurance, investigation and penalty systems for auditors and audit entities and a transitional period for audit activities of certain third country auditors and audit entities in the European Union		OJ L 163, 15.06.2013, p. 26-29	13-06-13	
DIR	2006/49/EC	Capital Requirements Directive - 2006/49/EC	Art. 8	RPS	DDG.C.4	Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on facilitating cross-border distribution of collective investment funds and amending Regulations (EU) No 345/2013 and (EU) No 346/2013				

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2008/18/EC	Directive - 2008/18/EC amending Council Directive 85/611/EEC on UCITS	Art. 1	DA	DDG.C.3	Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL amending Regulation (EU) 2016/1011 on low carbon benchmarks and positive carbon impact benchmarks				
DIR	2009/103/EC	Directive - 2009/103/EC on insurance against civil liability in respect of the use of motor vehicles (Motor Insurance Directive)	Art. 2	IA	DDG.D.4	Implementing Decision 2011/754/EU on checks on insurance against civil liability in respect of the use of motor vehicles		OJ L 310, 25.11.2011, p. 17-18	22-11-11	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 2 77e (2)	IA	DDG.D.4	COMMISSION IMPLEMENTING REGULATION (EU) .../... of XXX laying down technical information for the calculation of technical provisions and basic own funds for reporting with reference dates from 30 September 2019 until 30 December 2019 in accordance with Directive 2009/138/EC of the European Parliament and of the Council on the taking-up and pursuit of the business of Insurance and Reinsurance				2019

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended		IA	DDG.D.4	COMMISSION IMPLEMENTING REGULATION (EU) .../... of XXX laying down technical information for the calculation of technical provisions and basic own funds for reporting with reference dates from 30 June 2019 until 29 September 2019 in accordance with Directive 2009/138/EC of the European Parliament and of the Council on the taking-up and pursuit of the business of Insurance and Reinsurance	C(2019)5479		30-07-19	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 2 77e (2)	IA	DDG.D.4	Commission Implementing Regulation (EU) 2019/699 of 6 May 2019 laying down technical information for the calculation of technical provisions and basic own funds for reporting with reference dates from 31 March 2019 until 29 June 2019 in accordance with Directive 2009/138/EC of the European Parliament and of the Council on the taking-up and pursuit of the business of Insurance and Reinsurance	C(2019)3229	OJ L 119, 07.05.2019, p. 70-150	06-05-19	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 2 77e (2)	IA	DDG.D.4	IMPLEMENTING REGULATION (EU) 2019/228 of 7 February 2019 laying down technical information for the calculation of technical provisions and basic own funds for reporting with reference dates from 31 December 2018 until 30 March 2019 in accordance with Directive 2009/138/EC of the European Parliament and of the Council on the taking-up and pursuit of the business of Insurance and Reinsurance	C(2019) 802	OJ L 37, 08.02.2019, p. 22-105	07-02-19	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II)		ITS	DDG.D.4	Implementing act on statistical tables of risk-free discount rates calculated by EIOPA Q2 2019	C(2019)3229		06-05-19	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended		IA	DDG.D.4	Implementing Regulation (EU) 2018/1699 of 9 November 2018 laying down technical information for the calculation of technical provisions and basic own funds for reporting with reference dates from 30 September 2018 until 30 December 2018	C(2018)7369	OJ L 285, 13.11.2018, p. 1-77	09-12-18	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	(I) Sec. 4 109a (1)	ITS	DDG.C.1	Implementing Regulation amending Implementing Regulation (EU) 2016/1800 with regard to the allocation of credit assessments of external credit assessment institutions to an objective scale of credit quality steps in accordance with Solvency II Directive				2019

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended		IA	DDG.D.4	Implementing Regulation (EU) 2018/1078 laying down technical information for the calculation of technical provisions and basic own funds for reporting with reference dates from 30 June 2018 until 29 September 2018	C(2018)4935	OJ L 194, 31.07.2018, p. 47-130	30-07-18	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Art. 35 (10), () Sec. 2 Art. 244 (6), () Sec. 2 Art. 245 (6)	ITS	DDG.D.4	Amendment to ITS on Solvency II reporting	C(2018)7650	OJ L 299, 26.11.2018, p. 5-54	23-11-18	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 3 Art. 56, () Art. 256 (5)	ITS	DDG.D.4	Implementing Regulation (EU) 2018/1843 amending Implementing Regulation (EU) 2015/2452 as regards the scope of application of the template for disclosing premiums, claims and expenses by country	C(2018)7648	OJ L 299, 26.11.2018, p. 2-4	23-11-18	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	(I) Sec. 2 77e (2)	IA	DDG.D.4	Implementing Regulation (EU) 2018/730 on the calculation of technical provisions and basic own funds for reporting with reference dates from 31 March 2018 until 29 June 2018	C(2018)2647	OJ L 123, 18.05.2018, p. 6-81	04-05-18	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	(I) Sec. 2 77e (2)	IA	DDG.D.4	Implementing Regulation (EU) 2018/165 laying down technical information for the calculation of technical provisions and basic own funds for reporting with reference dates from 31 December 2017 until 30 March 2018	C(2018)482	OJ L 31, 03.02.2018, p. 3-81	31-01-18	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	(I) Sec. 4 109a (1)	ITS	DDG.C.1	Implementing Regulation (EU) 2018/633 amending Implementing Regulation (EU) 2016/1800 with regard to the allocation of credit assessments of external credit assessment institutions to an objective scale of credit quality steps in accordance with Solvency II Directive	C(2018)2329	OJ L 105, 25.04.2018, p. 6-13	24-04-18	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 2 77e (2)	IA	DDG.D.4	Implementing Regulation (EU) 2017/2015 on technical information for the calculation of technical provisions and basic own funds for reporting with reference dates from 30 September 2017 until 30 December 2017	C/2017/7367	OJ L 296, 14.11.2017, p. 1-69	09-11-17	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Art. 35 (9), () Sec. 2 Art. 50 (1), () Sec. 3 Art. 56, () Sec. 2 Art. 86 (1), () Sec. 3 Art. 97 (1), () Sec. 4 Art. 111 (1), () Art. 211 (2), () Sec. 1 Art. 234	DA	DDG.D.4	Commission Delegated Regulation amending Commission Delegated Regulation (EU) 2015/35 concerning the rules relating to the calculation of technical provisions, own funds and the solvency capital requirement standard formula	C(2019)1900	OJ L 161, 18.06.2019, p. 1-130	01-04-19	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 2 77e (2)	IA	DDG.D.4	Implementing Regulation (EU) 2017/1421 on technical information for the calculation of technical provisions and basic own funds for reporting with reference dates from 30 June until 29 September 2017	C(2017) 5334	OJ L 204, 05.08.2017, p. 7-77	02-08-17	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Art. 256 (5)	ITS	DDG.D.4	Implementing Regulation (EU) 2017/2190 amending and correcting Implementing Regulation (EU) 2015/2452 on the procedures, formats and templates of the solvency and financial condition report	C(2017) 7751	OJ L 310, 25.11.2017, p. 30-45	24-11-17	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Art. 35 (10), () Sec. 2 Art. 244 (6), () Sec. 2 Art. 245 (6)	ITS	DDG.D.4	Implementing Regulation (EU) 2017/2189 amending and correcting Implementing Regulation (EU) 2015/2450 on the templates for the submission of information to the supervisory authorities	C(2017)7751	OJ L 310, 25.11.2017, p. 3-29	24-11-17	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	(l) Sec. 2 77e (2)	IA	DDG.D.4	Implementing Regulation (EU) 2017/812 laying down technical information for the calculation of technical provisions and basic own funds for reporting with reference dates from 31 March until 29 June 2017	C(2017)3081	OJ L 126, 18.05.2017, p. 1-71	15-05-17	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	(l) Sec. 2 77e (2)	IA	DDG.D.4	Implementing Regulation (EU) 2017/309 laying down technical information for the calculation of technical provisions and basic own funds for reporting with reference dates from 31 December 2016 until 30 March 2017	C(2017)1173	OJ L 53, 28.02.2017, p. 1-71	23-02-17	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	(l) Sec. 2 77e (2)	IA	DDG.D.4	Commission Implementing Regulation (EU) 2016/1976 laying down technical information for the calculation of technical provisions and basic own funds for reporting with reference dates from 30 September until 30 December 2016	C/2016/7145	OJ L 309, 16.11.2016, p. 1-102	10-11-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 2 77e (2)	IA	DDG.D.4	Implementing Regulation (EU) 2016/1376 on the calculation of technical provisions and basic own funds for reporting with reference dates from 30 June until 29 September 2016	C(2016)5060	OJ L 224, 18.08.2016, p. 1-115	08-08-16	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Art. 35 (10), () Sec. 2 Art. 244 (6), () Sec. 2 Art. 245 (6)	ITS	DDG.D.4	Implementing Regulation (EU) 2016/1868 amending and correcting Impl. Reg. (EU) 2015/2450 on the templates for the submission of information to the supervisory authorities		OJ L 286, 21.10.2016, p. 35-69	20-10-16	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 2 77e (2)	IA	DDG.D.4	Implementing Regulation (EU) 2016/869 on the calculation of technical provisions and basic own funds for reporting with reference dates from 31 March until 29 June 2016		OJ L 147, 03.06.2016, p. 1-102	27-05-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	Art. 111(1)b, Art. 111(1)c, Art. 111(1)m, Art. 50(1)a	DA	DDG.D.4	Delegated Regulation (EU) 2017/1542 amending Delegated Regulation (EU) 2015/35 concerning the calculation of regulatory capital requirements for certain categories of assets held by insurance and reinsurance undertakings (infrastructure corporates)	C(2017)3673	OJ L 236, 14.09.2017, p. 14-21	08-06-17	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 2 Art. 172, () Sec. 1 Art. 227, () Art. 260	DA	DDG.D.4	Delegated Decision (EU) 2016/309 on the equivalence of the supervisory regime for insurance and reinsurance undertakings in force in Bermuda amending Commission Delegated Decision (EU) 2015/2290		OJ L 58, 04.03.2016, p. 50-54	26-11-15	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 1 Art. 227	DA	DDG.D.4	Delegated Decision (EU) 2015/2290 on the provisional equivalence of the solvency regimes in force in Australia, Bermuda, Brazil, Canada, Mexico and the United States	C(2015)3740	OJ L 323, 09.12.2015, p. 22-26	12-06-15	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 4 Art. 111 (1), () Sec. 6 Art. 135 (2)	DA	DDG.D.4	Delegated Regulation (EU) 2018/1221 amending Delegated Regulation (EU) 2015/35 as regards the calculation of regulatory capital requirements for securitisations and simple, transparent and standardised securitisations held by insurance and reinsurance undertakings	C(2018)3302	OJ L 227, 10.09.2018, p. 1-6	01-06-18	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 2 77e (2)	IA	DDG.D.4	Implementing Regulation (EU) 2016/165 on the calculation of technical provisions and basic own funds for reporting with reference dates from 1 January until 30 March 2016	C(2016)664	OJ L 32, 09.02.2016, p. 31-142	05-02-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Art. 31 (4), () Sec. 1 Art. 75 (2), () Sec. 1 Art. 75 (3), () Sec. 3 Art. 92, () Sec. 4 Art. 111 (1), () Sec. 4 Art. 111 (1), () Sec. 4 Art. 111 (1), () Sec. 3 308b (13)	DA	DDG.D.4	Delegated Regulation (EU) 2016/467 amending Delegated Regulation (EU) 2015/35 concerning the calculation of regulatory capital requirements for several categories of assets held by insurance and reinsurance undertakings	C(2015) 6588	OJ L 85, 01.04.2016, p. 6-19	30-09-15	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 4 Art. 111 (4)	RTS	DDG.D.4	Quantitative limits and asset eligibility				
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 4 Art. 58 (8)	RTS	DDG.D.4	Qualified holdings - info to be notified				
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Art. 248 (6)	RTS	DDG.D.4	Colleges - functioning				
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 3 308b (15)	DA	DDG.D.4	Shortening of IORP transitional				

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 6 Art. 135 (1)	DA	DDG.D.4	PPP - risks related to derivatives				
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 6 Art. 135 (1)	DA	DDG.D.4	PPP - identification and management of risks				
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Art. 17 (3)	DA	DDG.D.4	Legal form of the insurance or reinsurance undertaking				
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Art. 258 (3)	DA	DDG.D.4	Enforcement measures in groups				
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 4 Art. 58 (8)	RTS	DDG.D.4	Qualified holdings - adjustments to L1 criteria				
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 2 Art. 172, () Sec. 1 Art. 227	DA	DDG.D.4	Delegated Decision (EU) 2016/310 on the equivalence of the solvency regime for insurance and reinsurance undertakings in force in Japan		OJ L 58, 04.03.2016, p. 55-58	26-11-15	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 2 Art. 172, () Sec. 1 Art. 227, () Art. 260	DA	DDG.D.4	Delegated Decision (EU) 2015/1602 on the equivalence of the solvency and prudential regime for insurance and reinsurance undertakings in force in Switzerland	C(2015)3754	OJ L 248, 24.09.2015, p. 95-98	05-06-15	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 3 308b (17)	DA	DDG.D.4	Changes in the group solvency when transitional provisions apply				
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Art. 143 (2)	RTS	DDG.D.4	Recovery plans and the finance scheme				

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Art. 31 (4), () Art. 35 (9), () Art. 37 (6), () Art. 37 (7), () Sec. 2 Art. 50 (1), () Sec. 2 Art. 50 (1), () Sec. 2 Art. 50 (2), () Sec. 2 Art. 50 (2), () Sec. 2 Art. 50 (3), () Sec. 3 Art. 56, () Sec. 1 Art. 75 (2), () Sec. 1 Art. 75 (3), () Sec. 2 Art. 86 (1), () Sec. 2 Art. 86 (2), () Sec. 2 Art. 86 (2), () Sec. 3 Art. 92, () Sec. 3 Art. 92 (1), () Sec. 3 Art. 97 (1), () Sec. 3 Art. 97 (2), () Sec. 3 Art. 99, () Sec. 3 Art. 99, () Sec. 4 109a (5), () Sec. 4 Art. 111 (1), () Sec. 4 Art. 114	DA	DDG.D.4	Commission Delegated Regulation (EU) 2015/35 supplementing Directive 2009/138/EC		OJ L 12, 17.01.2015, p. 1-797	10-10-14	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Art. 31 (5)	ITS	DDG.D.4	Implementing Regulation (EU) 2015/2451 - Reporting by supervisors		OJ L 347, 31.12.2015, p. 1224-1284	02-12-15	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Art. 37 (8)	ITS	DDG.D.4	Implementing Regulation (EU) 2015/2012 on the procedures for decisions to set, calculate and remove capital add-ons	C(2015) 7748	OJ L 295, 12.11.2015, p. 5-8	11-11-15	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Art. 35 (10), () Sec. 2 Art. 244 (6), () Sec. 2 Art. 245 (6)	ITS	DDG.D.4	Implementing Regulation (EU) 2015/2450 - Supervisory reporting: templates for submission		OJ L 347, 31.12.2015, p. 1-1223	02-12-15	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	Art. 109a(2)a	ITS	DDG.D.4	Implementing Regulation (EU) 2015/2011 on the lists of regional governments and local authorities, exposures to whom are to be treated as exposures to the central government in accordance with Directive 2009/138/EC	C(2015) 7745	OJ L 295, 12.11.2015, p. 3-4	11-11-15	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 4 109a (1)	ITS	DDG.C.1	Implementing Regulation (EU) 2016/1800 on the allocation of credit assessments of external credit assessment institutions to an objective scale of credit quality steps	C(2016)6436	OJ L 275, 12.10.2016, p. 19-26	11-10-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	Art. 109a(2)b	ITS	DDG.D.4	Implementing Regulation (EU) 2015/2016 on the equity index for the symmetric adjustment of the standard equity capital charge	C(2015) 7742	OJ L 295, 12.11.2015, p. 18-20	11-11-15	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	Art. 109a(2)c	ITS	DDG.D.4	Implementing Regulation (EU) 2015/2017 on the adjusted factors to calculate the capital requirement for currency risk for currencies pegged to the euro	C(2015) 7743	OJ L 295, 12.11.2015, p. 21-22	11-11-15	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	(i) Sec. 2 Art. 44	ITS	DDG.D.4	Implementing Regulation (EU) 2015/2015 on the procedures for assessing external credit assessments	C(2015) 7739	OJ L 295, 12.11.2015, p. 16-17	11-11-15	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	(i) Sec. 4 109a (4)	ITS	DDG.D.4	Implementing Regulation (EU) 2015/2013 on standard deviations in relation to health risk equalisation systems	C(2015) 7749	OJ L 295, 12.11.2015, p. 9-10	11-11-15	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	(i) Sec. 4 Art. 111 (2)	ITS	DDG.D.4	Implementing Regulation (EU) 2015/498 on the supervisory approval procedure to use undertaking-specific parameters		OJ L 79, 25.03.2015, p. 8-11	24-03-15	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 2 Art. 86 (3)	ITS	DDG.D.4	Implementing Regulation (EU) 2015/500 on the procedures to be followed for the supervisory approval of the application of a matching adjustment		OJ L 79, 25.03.2015, p. 18-22	24-03-15	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 4 Art. 114 (2)	ITS	DDG.D.4	Implementing Regulation (EU) 2015/460 on the approval of an internal model		OJ L 76, 20.03.2015, p. 13-18	19-03-15	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 3 Art. 92	ITS	DDG.D.4	Implementing Regulation (EU) 2015/499 on the procedures to be used for granting supervisory approval for the use of ancillary own-fund items		OJ L 79, 25.03.2015, p. 12-17	24-03-15	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Art. 211, () Art. 211 (2)	ITS	DDG.D.4	Implementing Regulation (EU) 2015/462 on the procedures for supervisory approval to establish SPVs, for the cooperation and exchange of information between supervisory authorities regarding SPVs, as well as to set out formats and templates for information to be reported by SPVs		OJ L 76, 20.03.2015, p. 23-41	19-03-15	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 1 Art. 237 (4)	ITS	DDG.D.4	Groups with CRM - joint decision process				
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 4 Art. 58 (9)	ITS	DDG.D.4	Qualified holdings - procedure for consultation				

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Art. 249 (4)	ITS	DDG.D.4	Implementing Regulation (EU) 2015/2014 on the procedures and templates for the submission of information to the group supervisor and for the exchange of information between supervisory authorities	C(2015) 7732	OJ L 295, 12.11.2015, p. 11-15	11-11-15	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 3 Art. 56, () Art. 256 (5)	ITS	DDG.D.4	IMPLEMENTING REGULATION (EU) 2015/2452 -Solvency and financial condition report		OJ L 347, 31.12.2015, p. 1285-1480	02-12-15	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 3 308b (13)	ITS	DDG.D.4	Implementing Regulation (EU) 2016/1630 on the procedures for the application of the transitional measure for the equity risk sub-module		OJ L 243, 10.09.2016, p. 1-3	09-09-16	
DIR	2009/138/EU	Directive 2009/138/EC on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) as amended	() Sec. 1 Art. 231 (4)	ITS	DDG.D.4	Implementing Regulation (EU) 2015/461 on the process to reach a joint decision on the application to use a group internal model		OJ L 76, 20.03.2015, p. 19-22	19-03-15	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2009/65/EC	Directive 2009/65/EC (UCITS IV) as amended by Directive 2010/78/EU (Omnibus Directive) and Directive 2014/91/EU (UCITS V)	Art. 26b()	DA	DDG.C.4	Delegated Regulation amending Commission Delegated Regulation (EU) No 2016/438 with regard to the safekeeping duties of depositaries (UCITS)	C(2018)4379	OJ L 271, 30.10.2018, p. 6-9	12-07-18	
DIR	2009/65/EC	Directive 2009/65/EC (UCITS IV) as amended by Directive 2010/78/EU (Omnibus Directive) and Directive 2014/91/EU (UCITS V)	Art. 99e(3)	ITS	DDG.C.4	Implementing Regulation (EU) 2016/1212 on standard procedures and forms for submitting information	C(2016)4618	OJ L 199, 26.07.2016, p. 6-11	25-07-16	
DIR	2009/65/EC	Directive 2009/65/EC (UCITS IV) as amended by Directive 2010/78/EU (Omnibus Directive) and Directive 2014/91/EU (UCITS V)	Art. 50a()	DA	DDG.C.4	Repackage of loans into tradable securities				
DIR	2009/65/EC	Directive 2009/65/EC (UCITS IV) as amended by Directive 2010/78/EU (Omnibus Directive) and Directive 2014/91/EU (UCITS V)	() Art. 95 (2), () Art. 101 (9)	ITS	DDG.C.4	Reg. (EU)584/2010 - form and content of the standard notification letter and UCITS attestation, the use of electronic communication between competent authorities for the purpose of notification, and procedures for on-the-spot verifications and investigations and the exchange of information between competent authorities		OJ L 176, 10.07.2010, p. 16-27	01-07-10	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2009/65/EC	Directive 2009/65/EC (UCITS IV) as amended by Directive 2010/78/EU (Omnibus Directive) and Directive 2014/91/EU (UCITS V)	() Art. 25 (2)	DA	DDG.C.4	DELEGATED REG. (EU) 2016/438 on obligations of depositaries	C(2015)9160	OJ L 78, 24.03.2016, p. 11-30	17-12-15	
DIR	2009/65/EC	Directive 2009/65/EC (UCITS IV) as amended by Directive 2010/78/EU (Omnibus Directive) and Directive 2014/91/EU (UCITS V)	() Sec. 3 Art. 12 (3), () Sec. 3 Art. 14 (2), () Art. 23 (6), () Sec. 3 Art. 33 (6), () Art. 51 (4)	DA	DDG.C.4	Directive 2010/43/EU - organisational requirements, conflicts of interest, conduct of business, risk management and content of the agreement between a depositary and a management company		OJ L 176, 10.07.2010, p. 42-61	01-07-10	
DIR	2009/65/EC	Directive 2009/65/EC (UCITS IV) as amended by Directive 2010/78/EU (Omnibus Directive) and Directive 2014/91/EU (UCITS V)	() Sec. 1 Art. 75 (4), () Sec. 3 Art. 78 (7), () Sec. 3 Art. 81 (2)	DA	DDG.C.4	REG(EU)583/2010 - key investor information and conditions to be met when providing key investor information or the prospectus in a durable medium other than paper or by means of a website		OJ L 176, 10.07.2010, p. 1-15	01-07-10	
DIR	2009/65/EC	Directive 2009/65/EC (UCITS IV) as amended by Directive 2010/78/EU (Omnibus Directive) and Directive 2014/91/EU (UCITS V)	() Sec. 2 Art. 43 (5), () Sec. 2 Art. 60 (6), () Sec. 3 Art. 61 (3), () Sec. 3 Art. 62 (4), () Sec. 5 Art. 64 (4), () Art. 95 (1)	DA	DDG.C.4	Directive 2010/44/EU - provisions concerning fund mergers, master-feeder structures and notification procedure		OJ L 176, 10.07.2010, p. 28-41	01-07-10	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2011/61/EU	Directive 2011/61/EU on Alternative Investment Fund Managers (AIFMD)	() Sec. 4 Art. 21 (17)	DA	DDG.C.4	Delegated Regulation amending Commission Delegated Regulations (EU) No 231/2013 with regard to the safekeeping duties of depositaries	C(2018)4377	OJ L 271, 30.10.2018, p. 1-5	12-07-18	
DIR	2011/61/EU	Directive 2011/61/EU on Alternative Investment Fund Managers (AIFMD)	() Art. 37 (14)	IA	DDG.C.4	Implementing Reg. (EU)448/2013 on procedure for determining the MS of reference of a non-EU AIFM		OJ L 132, 16.05.2013, p. 3-5	15-05-13	
DIR	2011/61/EU	Directive 2011/61/EU on Alternative Investment Fund Managers (AIFMD)	() Art. 3 (5)	IA	DDG.C.4	Commission Implementing Regulation (EU) No 447/2013 of 15 May 2013 establishing the procedure for AIFMs which choose to opt in under Directive 2011/61/EU of the European Parliament and of the Council		OJ L 132, 16.05.2013, p. 1-2	15-05-13	
DIR	2011/61/EU	Directive 2011/61/EU on Alternative Investment Fund Managers (AIFMD)	() Art. 67 (5)	DA	DDG.C.4	Delegated Regulation (EU)2015/514 on the information to be provided by competent authorities to ESMA pursuant to Article 67(3)	C(2014) 9796	OJ L 82, 27.03.2015, p. 5-11	18-12-14	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2011/61/EU	Directive 2011/61/EU on Alternative Investment Fund Managers (AIFMD)	() Art. 68 (5)	DA	DDG.C.4	Measures specifying the contents of the information to be provided by the CA to ESMA in view of terminating national regimes				2019
DIR	2011/61/EU	Directive 2011/61/EU on Alternative Investment Fund Managers (AIFMD)	() Art. 3 (6), () Art. 4 (3), () Art. 9 (9), () Sec. 1 Art. 12 (3), () Sec. 1 Art. 14 (4), () Sec. 1 Art. 15 (5), () Sec. 1 Art. 16 (3), () Sec. 1 Art. 17, () Sec. 2 Art. 18 (2), () Sec. 2 Art. 19 (11), () Sec. 3 Art. 20 (7), () Sec. 4 Art. 21 (17), () Art. 22 (4), () Art. 23 (6), () Art. 24 (6), () Sec. 1 Art. 25 (9), () Art. 34 (2), () Art. 35 (11), () Art. 36 (3), () Art. 37 (15), () Art. 40 (11), () Art. 42 (3), () Sec. 2 Art. 53 (3)	DA	DDG.C.4	Commission Delegated Regulation (EU) No 231/2013 of 19 December 2012 supplementing Directive 2011/61/EU of the European Parliament and of the Council with regard to exemptions, general operating conditions, depositaries, leverage, transparency and supervision		OJ L 83, 22.03.2013, p. 1-95	19-12-12	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2011/61/EU	Directive 2011/61/EU on Alternative Investment Fund Managers (AIFMD)	() Art. 68 (6)	DA	DDG.C.4	Measures terminating national regimes and installing a sole and mandatory regime in all the Member States for the 3rd country AIFM passport				2019
DIR	2011/61/EU	Directive 2011/61/EU on Alternative Investment Fund Managers (AIFMD)	() Art. 37 (17), () Art. 37 (18)	RTS	DDG.C.4	Cooperation arrangements and coordination procedures between competent authorities				
DIR	2011/61/EU	Directive 2011/61/EU on Alternative Investment Fund Managers (AIFMD)	() Art. 35 (13), () Art. 35 (14)	RTS	DDG.C.4	Cooperation arrangements and coordination procedures between competent authorities				
DIR	2011/61/EU	Directive 2011/61/EU on Alternative Investment Fund Managers (AIFMD)	() Art. 4 (4)	RTS	DDG.C.4	Delegated Reg. (EU)694/2014 on types of alternative investment fund managers		OJ L 183, 24.06.2014, p. 18-20	17-12-13	
DIR	2011/89/EU	Directive 2011/89/EU on supplementary supervision of credit institutions, insurance undertaking and investment firms in a financial conglomerate amending FICOD directive (FICOD 1)	Art. 21a(1a)	RTS	DDG.D.2	Delegated Regulation (EU)2015/2303 - Risk concentration and intra-group transactions under Article 21a (1a)	C(2015)5067	OJ L 326, 11.12.2015, p. 34-38	28-07-15	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2013/34/EU	Directive 2013/34/EU - Accounting Directive	Art. 47	IA	DDG.C.1	COMMISSION IMPLEMENTING DECISION (EU) 2016/1910 on the equivalence of the reporting requirements of certain third countries on payments to governments		OJ L 295, 29.10.2016, p. 82-83	28-10-16	
DIR	2013/34/EU	Directive 2013/34/EU - Accounting Directive	Art. 46 (2)	DA	DDG.C.1	COMMISSION DELEGATED REGULATION (EU) .../... on the criteria to be applied when assessing the equivalence of third-country reporting requirements as regards reports on payments to governments				
DIR	2013/34/EU	Directive 2013/34/EU - Accounting Directive	Art. 3 (13)	DA	DDG.C.1	COMMISSION DELEGATED DIRECTIVE (EU) .../... amending Directive 2013/34/EU as regards thresholds taking into account measures of inflation				2019
DIR	2013/34/EU	Directive 2013/34/EU - Accounting Directive	Art. 1 (2)	DA	DDG.C.1	COMMISSION DELEGATED DIRECTIVE (EU) .../... amending Directive 2013/34/EU to adapt the list of undertakings in scope				

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2013/36/EU	Directive 2013/36/EU on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms (CRD IV)	() II Art. 78 (8)	ITS	DDG.D.1	IMPLEMENTING REGULATION (EU) .../... amending Implementing Regulation (EU) 2016/2070 on benchmarking				2019
DIR	2013/36/EU	Directive 2013/36/EU on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms (CRD IV)		ITS	DDG.D.1	IMPLEMENTING REGULATION (EU) .../... amending Implementing Regulation (EU) 2016/2070 on templates, definitions and IT-solutions to be applied in the Union for the reporting	C(2019)1027	OJ L 90, 29.03.2019, p. 1-4970	15-02-19	
DIR	2013/36/EU	Directive 2013/36/EU on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms (CRD IV)	() Art. 143 (3)	ITS	DDG.D.1	Amendment of ITS for Supervisory Disclosure				
DIR	2013/36/EU	Directive 2013/36/EU on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms (CRD IV)	() Art. 143 (3)	ITS	DDG.D.1	IMPLEMENTING REGULATION (EU) .../... amending ITS with regard to the format, structure, contents list and annual publication date of the information to be disclosed by competent authorities	C(2019)3872	OJ L 146, 05.06.2019, p. 3-56	28-05-19	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2013/36/EU	Directive 2013/36/EU on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms (CRD IV)	() Art. 8 (2)	RTS	DDG.D.1	DELEGATED REGULATION (EU) No .../.. on the information to be provided in the application for the authorisation of credit institutions, the requirements applicable to shareholders and members with qualifying holdings and obstacles which may prevent the effective exercise of supervisory powers				2019
DIR	2013/36/EU	Directive 2013/36/EU on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms (CRD IV)	() II Art. 78 (8)	ITS	DDG.D.1	IMPLEMENTING REGULATION (EU) 2018/688 amending Implementing Regulation (EU) 2016/2070 as regards benchmarking portfolios, reporting templates and reporting instructions	C(2018)1679	OJ L 124, 18.05.2018, p. 1-6351	23-03-18	
DIR	2013/36/EU	Directive 2013/36/EU on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms (CRD IV)	() II Art. 78 (8)	ITS	DDG.D.1	Implementing Regulation (EU) 2017/1486 amending Implementing Regulation (EU) 2016/2070 as regards benchmarking portfolios and reporting instructions	C(2017)4637	OJ L 225, 31.08.2017, p. 1-2833	10-07-17	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2013/36/EU	Directive 2013/36/EU on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms (CRD IV)	() I Art. 131 (18)	RTS	DDG.E.3	Delegated Regulation (EU)2016/1608 amending Delegated Regulation (EU)1222/2014 on the specification of the methodology for the identification of global systemically important institutions and for the definition of subcategories of global systemically important institutions	C(2016)2815	OJ L 240, 08.09.2016, p. 1-3	17-05-16	
DIR	2013/36/EU	Directive 2013/36/EU on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms (CRD IV)	() II Art. 94 (2)	RTS	DDG.D.1	(EU)527/2014 - Instruments used for variable remuneration	C(2014)1550	OJ L 148, 20.05.2014, p. 21-28	12-03-14	
DIR	2013/36/EU	Directive 2013/36/EU on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms (CRD IV)	() II Art. 94 (2)	RTS	DDG.D.1	(EU)604/2014 - Identified Staff	C(2014)1332	OJ L 167, 06.06.2014, p. 30-35	04-03-14	
DIR	2013/36/EU	Directive 2013/36/EU on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms (CRD IV)	() II Art. 78 (7)	RTS	DDG.D.1	Delegated Regulation (EU) 2017/180 on benchmarking portfolio assessment standards and assessment-sharing procedures	C(2016)6703	OJ L 29, 03.02.2017, p. 1-9	24-10-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2013/36/EU	Directive 2013/36/EU on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms (CRD IV)	() I Art. 51 (4), () I Art. 116 (4)	RTS	DDG.D.1	Delegated Regulation (EU) 2016/98 on specifying the general conditions for the functioning of colleges of supervisors	C(2015)6999	OJ L 21, 28.01.2016, p. 2-20	16-10-15	
DIR	2013/36/EU	Directive 2013/36/EU on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms (CRD IV)	() I Art. 131 (18)	RTS	DDG.E.3	(EU)1222/2014 - Identification of G-SIIs	C(2014)7117	OJ L 330, 15.11.2014, p. 27-36	08-10-14	
DIR	2013/36/EU	Directive 2013/36/EU on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms (CRD IV)	() II Art. 140 (7)	RTS	DDG.E.3	(EU)1152/2014 - Geographical location of a relevant credit exposure	C(2014) 3658	OJ L 309, 30.10.2014, p. 5-8	04-06-14	
DIR	2013/36/EU	Directive 2013/36/EU on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms (CRD IV)	() I Art. 50 (6)	RTS	DDG.D.1	(EU)524/2014 - Information exchange	C(2014) 1554	OJ L 148, 20.05.2014, p. 6-14	12-03-14	
DIR	2013/36/EU	Directive 2013/36/EU on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms (CRD IV)	() Art. 35 (5), () Art. 36 (5), () Art. 39 (4)	RTS	DDG.D.1	(EU)1151/2014 - Passporting notifications	C(2014)3656	OJ L 309, 30.10.2014, p. 1-4	06-04-14	
DIR	2013/36/EU	Directive 2013/36/EU on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms (CRD IV)	() II Art. 77 (4)	RTS	DDG.C.1	(EU)530/2014 - Materiality threshold for specific risk	C(2014) 1561	OJ L 148, 20.05.2014, p. 50-51	12-03-14	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2013/36/EU	Directive 2013/36/EU on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms (CRD IV)	() Art. 22 (9)	ITS	A.1	Implementing Regulation (EU)2017/461 on common procedures, forms and templates for the consultation process between the relevant competent authorities for proposed acquisitions of qualifying holdings in credit institutions	C(2017)1612	OJ L 72, 17.03.2017, p. 57-65	16-03-17	
DIR	2013/36/EU	Directive 2013/36/EU on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms (CRD IV)	() Art. 8 (3)	ITS	DDG.D.1	IMPLEMENTING REGULATION (EU) No .../.. on the information to be provided for the authorisation of credit institutions				2019
DIR	2013/36/EU	Directive 2013/36/EU on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms (CRD IV)	() II Art. 78 (8)	ITS	DDG.D.1	IMPLEMENTING REGULATION (EU) 2016/2070 on templates, definitions and IT-solutions to be used by institutions when reporting to EBA and to competent authorities	C(2016) 5732	OJ L 328, 02.12.2016, p. 1-1422	14-09-16	
DIR	2013/36/EU	Directive 2013/36/EU on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms (CRD IV)	() I Art. 51 (5), () I Art. 116 (5)	ITS	DDG.D.1	Implementing Regulation (EU) 2016/99 on determining the operational functioning of the colleges of supervisors	C(2015)6992	OJ L 21, 28.01.2016, p. 21-44	16-10-15	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2013/36/EU	Directive 2013/36/EU on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms (CRD IV)	() Art. 143 (3)	ITS	DDG.D.1	(EU)650/2014 - Supervisory disclosure	C(2014) 3653	OJ L 185, 25.06.2014, p. 1-50	04-06-14	
DIR	2013/36/EU	Directive 2013/36/EU on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms (CRD IV)	() I Art. 113 (5)	ITS	DDG.D.1	(EU)710/2014 - Joint decisions	C(2014) 4038	OJ L 188, 27.06.2014, p. 19-59	23-06-14	
DIR	2013/36/EU	Directive 2013/36/EU on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms (CRD IV)	() I Art. 50 (7)	ITS	DDG.D.1	(EU)620/2014 - Information Exchange	C(2014)3652	OJ L 172, 12.06.2014, p. 1-25	06-04-14	
DIR	2013/36/EU	Directive 2013/36/EU on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms (CRD IV)	() Art. 35 (6), () Art. 36 (6), () Art. 39 (5)	ITS	DDG.D.1	(EU)926/2014 - Passporting notifications	C(2014) 6018	OJ L 254, 28.08.2014, p. 2-21	27-08-14	
DIR	2014/17/EU	Directive 2014/17/EU on credit agreements for consumers relating to residential immovable property (MCD - Mortgage Credit Directive)	Art. 1	RTS	B.3	Delegated Regulation (EU)1125/2014 on the minimum monetary amount of the professional indemnity insurance or comparable guarantee to be held by credit intermediaries	C(2014) 1125	OJ L 305, 24.10.2014, p. 1-2	19-09-14	
DIR	2014/49/EU	Directive 2014/49/EU on deposit guarantee schemes (new DGS)	Art. 6 (7)	DA	DDG.D.3	To adjust the amount referred to in Article 6(6) at least every five years				2020

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2014/59/EU	Directive 2014/59/EU establishing a framework for the recovery and resolution of credit institutions and investment firms (BRRD)	() Sec. 3 Art. 11 (3)	ITS	DDG.D.3	Commission Implementing Regulation (EU) 2018/1624 of 23 October 2018 laying down implementing technical standards with regard to procedures and standard forms and templates for the provision of information for the purposes of resolution plans for credit institutions and investment firms pursuant to Directive 2014/59/EU of the European Parliament and of the Council, and repealing Commission Implementing Regulation (EU) 2016/1066 (Text with EEA relevance)	C(2018)6841	OJ L 277, 7.11.2018	23-10-18	
DIR	2014/59/EU	Directive 2014/59/EU establishing a framework for the recovery and resolution of credit institutions and investment firms (BRRD)	() Art. 74 (4)	RTS	DDG.D.3	Delegated Regulation (EU) 2018/344 specifying the criteria relating to the methodologies for valuation of difference in treatment in resolution	C(2017)7436	OJ L 67, 09.03.2018, p. 3-7	14-11-17	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2014/59/EU	Directive 2014/59/EU establishing a framework for the recovery and resolution of credit institutions and investment firms (BRRD)	() Sec. 5 Art. 45 (17)	ITS	DDG.D.3	Implementing Regulation (EU) 2018/308 on formats, templates and definitions for the identification and transmission of information by resolution authorities for the purposes of informing the EBA of the minimum requirement for own funds and eligible liabilities	C(2018)1038	OJ L 60, 02.03.2018, p. 7-15	01-03-18	
DIR	2014/59/EU	Directive 2014/59/EU establishing a framework for the recovery and resolution of credit institutions and investment firms (BRRD)	() Art. 26 (2)	ITS	DDG.D.3	Implementing Regulation (EU) 2016/911 on the form and the content of the description of group financial support agreements	C(2016)3440	OJ L 153, 10.06.2016, p. 25-27	09-06-16	
DIR	2014/59/EU	Directive 2014/59/EU establishing a framework for the recovery and resolution of credit institutions and investment firms (BRRD)	() Sec. 1 Art. 4 (11)	ITS	DDG.D.3	Implementing Regulation (EU) 2016/962 on the uniform formats, templates and definitions for the identification and transmission of information by competent authorities and resolution authorities to EBA	C(2016)3641	OJ L 160, 17.06.2016, p. 35-49	16-06-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2014/59/EU	Directive 2014/59/EU establishing a framework for the recovery and resolution of credit institutions and investment firms (BRRD)	() Sec. 5 Art. 52 (12)	RTS	DDG.D.3	Delegated Regulation (EU)2016/1400 specifying the minimum elements of a business reorganisation plan and the minimum contents of the reports on the progress in the implementation of the plan	C(2016)2665	OJ L 228, 23.08.2016, p. 1-6	10-05-16	
DIR	2014/59/EU	Directive 2014/59/EU establishing a framework for the recovery and resolution of credit institutions and investment firms (BRRD)	() Sec. 5 Art. 49 (5)	RTS	DDG.D.3	Delegated Regulation (EU)2016/1401 on methodologies and principles on the valuation of liabilities arising from derivatives	C(2016)2967	OJ L 228, 23.08.2016, p. 7-15	23-05-16	
DIR	2014/59/EU	Directive 2014/59/EU establishing a framework for the recovery and resolution of credit institutions and investment firms (BRRD)	() Art. 103 (7)	DA	DDG.D.3	Delegated Regulation (EU)2016/1434 correcting Delegated Regulation (EU)2015/63 on ex ante contributions to resolution financing arrangements	C(2015)8835	OJ L 233, 30.08.2016, p. 1-3	14-12-15	
DIR	2014/59/EU	Directive 2014/59/EU establishing a framework for the recovery and resolution of credit institutions and investment firms (BRRD)	() Art. 2 (2), () Art. 104 (4)	DA	DDG.D.3	Delegated Regulation (EU) 2016/778 - Critical functions and core business lines / ex-post contributions	C(2016) 424	OJ L 131, 20.05.2016, p. 41-47	02-02-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2014/59/EU	Directive 2014/59/EU establishing a framework for the recovery and resolution of credit institutions and investment firms (BRRD)	() Art. 36 (15)	RTS	DDG.D.3	Delegated Regulation (EU) 2018/345 specifying the criteria relating to the methodology for assessing the value of assets and liabilities of institutions or entities	C(2017)7438	OJ L 67, 09.03.2018, p. 8-17	14-11-17	
DIR	2014/59/EU	Directive 2014/59/EU establishing a framework for the recovery and resolution of credit institutions and investment firms (BRRD)	() Art. 76 (4)	DA	DDG.D.3	DELEGATED REGULATION (EU) 2017/867 on classes of arrangements to be protected in a partial property transfer	C(2017)597	OJ L 131, 20.05.2017, p. 15-19	07-02-17	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2014/59/EU	Directive 2014/59/EU establishing a framework for the recovery and resolution of credit institutions and investment firms (BRRD)	() Sec. 2 Art. 5 (10), () Sec. 2 Art. 6 (8), () Sec. 3 Art. 10 (9), () Sec. 3 Art. 12 (6), () Art. 15 (4), () Art. 23 (2), () Art. 36 (14), () Sec. 5 Art. 55 (3), () Art. 82 (3), () Art. 88 (7)	RTS	DDG.D.3	Delegated Regulation (EU)2016/1075 specifying the content of recovery plans, resolution plans and group resolution plans, the minimum criteria that the competent authority is to assess as regards recovery plans and group recovery plans, the conditions for group financial support, the requirements for independent valuers, the contractual recognition of write-down and conversion powers, the procedures and contents of notification requirements and of notice of suspension and the operational functioning of the resolution colleges	C(2016)1691	OJ L 184, 08.07.2016, p. 1-71	23-03-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2014/59/EU	Directive 2014/59/EU establishing a framework for the recovery and resolution of credit institutions and investment firms (BRRD)	() Sec. 3 Art. 11 (3)	ITS	DDG.D.3	Implementing Regulation (EU)2016/1066 on procedures, standard forms and templates for the provision of information for the purpose of resolution plans for credit institutions and investment firms	C(2016)3674	OJ L 181, 06.07.2016, p. 1-38	17-06-16	
DIR	2014/59/EU	Directive 2014/59/EU establishing a framework for the recovery and resolution of credit institutions and investment firms (BRRD)	() Sec. 5 Art. 44 (11)	DA	DDG.D.3	Delegated Regulation (EU) 2016/860 on the circumstances where exclusion from the application of write-down or conversion powers is necessary under Article 44(3)	C(2016)379	OJ L 144, 01.06.2016, p. 11-20	04-02-16	
DIR	2014/59/EU	Directive 2014/59/EU establishing a framework for the recovery and resolution of credit institutions and investment firms (BRRD)	() Art. 27 (5)	RTS	DDG.D.3	Delegated Regulation (EU)2016/1712 specifying a minimum set of the information on financial contracts that should be contained in the detailed records and the circumstances in which the requirement should be imposed	C(2016) 3356	OJ L 258, 24.09.2016, p. 1-7	07-06-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2014/59/EU	Directive 2014/59/EU establishing a framework for the recovery and resolution of credit institutions and investment firms (BRRD)	() Sec. 5 Art. 52 (14)	RTS	DDG.D.3	Delegated Regulation (EU)2016/1450 specifying the criteria relating to the methodology for setting the minimum requirement for own funds and eligible liabilities	C(2016)2976	OJ L 237, 03.09.2016, p. 1-9	23-05-16	
DIR	2014/59/EU	Directive 2014/59/EU establishing a framework for the recovery and resolution of credit institutions and investment firms (BRRD)	() Sec. 1 Art. 4 (6)	RTS	DDG.D.3	Assessment of the impact of an institution's failure on financial markets, on other institutions and on funding conditions, with a view to granting simplified obligations as regards preparation of recovery and resolution plans.	C(2018)6901	OJ L 63, 04.03.2019, p. 1-11	25-10-18	
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Sec. 2 Art. 25 (4)	IA	DDG.C.3	Equivalence of the legal and supervisory framework applicable to stock exchanges in Switzerland	C(2018)9189	OJ L 327, 21.12.2018, p. 77-83	20-12-18	
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Art. 4 (2), () Sec. 4 Art. 33 (8)	DA	DDG.C.3	Promoting the use of SME growth markets	C(2018)8442		13-12-18	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Art. 49 (3), () Art. 49 (4)	RTS	DDG.C.3	Amendment to RTS 11 on tick size, regime for shares, depositary receipts and exchange, traded funds	C(2019) 904	OJ L 77, 20.03.2019, p. 59-60	13-02-19	
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Art. 16 (12), () Sec. 1 Art. 23 (4)	DA	DDG.C.3	Amendments to Commission Delegated Regulation (EU) 2017/565				2019
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Art. 16 (12), () Sec. 1 Art. 23 (4), () Sec. 2 Art. 24 (13)	DA	DDG.C.3	Amendments to Commission Delegated Directive (EU) 2017/593				2019
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Sec. 2 Art. 25 (4)	IA	DDG.C.3	Implementing Decision (EU) 2017/2320 on the equivalence of the legal and supervisory framework of the United States of America for national securities exchanges and alternative trading systems	C/2017/8570	OJ L 331, 14.12.2017, p. 94-101	13-12-17	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Sec. 2 Art. 25 (4)	IA	DDG.C.3	Implementing Decision (EU) 2017/2319 on the equivalence of the legal and supervisory framework applicable to recognised exchange companies in Hong Kong Special Administrative Region	C/2017/8569	OJ L 331, 14.12.2017, p. 87-93	13-12-17	
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Sec. 2 Art. 25 (4)	IA	DDG.C.3	Implementing Decision (EU) 2017/2318 on the equivalence of the legal and supervisory framework in Australia applicable to financial markets	C/2017/8568	OJ L 331, 14.12.2017, p. 81-86	13-12-17	
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Sec. 2 Art. 25 (4)	IA	DDG.C.3	IMPLEMENTING DECISION (EU) 2017/2441 on the equivalence of the legal and supervisory framework applicable to stock exchanges in Switzerland	C(2017) 9117	OJ L 344, 23.12.2017, p. 52-58	21-12-17	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Sec. 3 Art. 65 (8)	RTS	DDG.C.3	Delegated Regulation (EU) 2018/63 amending Delegated Regulation (EU) 2017/571 on the authorisation, organisational requirements and the publication of transactions for data reporting services providers	C(2017)6337	OJ L 12, 17.01.2018, p. 2-4	26-09-17	
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Art. 4 (2)	DA	DDG.C.3	Delegated Regulation (EU) 2017/2294 as regards the specification of the definition of systematic internalisers for the purposes of Directive 2014/65/EU	C(2017)5812	OJ L 329, 13.12.2017, p. 4-5	28-08-17	
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Art. 16 (12), () Sec. 2 Art. 24 (13)	DA	DDG.C.3	Delegated Directive (EU)2017/593 on safeguarding of financial instruments and funds belonging to clients, product governance obligations and the rules applicable to the provision or reception of fees, commissions or any monetary or non-monetary benefits	C(2016) 2031	OJ L 87, 31.03.2017, p. 500-517	07-04-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Art. 80 (3)	RTS	DDG.C.3	Delegated Regulation (EU)2017/586 on the exchange of information between competent authorities when cooperating in supervisory activities, on-the-spot verifications and investigations	C(2016)4415	OJ L 87, 31.03.2017, p. 382-386	14-07-16	
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Art. 34 (8), () Art. 35 (11)	RTS	DDG.C.3	Delegated Regulation (EU)2017/1018 specifying information to be notified by investment firms, market operators and credit institutions	C(2016)3917	OJ L 155, 17.06.2017, p. 1-5	29-06-16	
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Art. 7 (4)	RTS	DDG.C.3	DELEGATED REGULATION (EU) 2017/1943 on information and requirements for the authorisation of investment firms	C(2016)4417	OJ L 276, 26.10.2017, p. 4-11	14-07-16	
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	Art. 48(12)b	RTS	DDG.C.3	Delegated Regulation (EU)2017/566 on the ratio of unexecuted orders to transactions in order to prevent disorderly trading conditions	C(2016)2775	OJ L 87, 31.03.2017, p. 84-89	18-05-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	Art. 27(10)b	RTS	DDG.C.3	Delegated Regulation (EU)2017/576 on the annual publication by investment firms of information on the identity of execution venues and on the quality of execution	C(2016)3337	OJ L 87, 31.03.2017, p. 166-173	08-06-16	
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	Art. 27(10)a	RTS	DDG.C.3	Delegated Regulation (EU)2017/575 on the data to be published by execution venues on the quality of execution of transactions	C(2016)3333	OJ L 87, 31.03.2017, p. 152-165	08-06-16	
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Art. 50 (2)	RTS	DDG.C.3	Delegated Regulation (EU)2017/574 on the level of accuracy of business clocks	C(2016)3316	OJ L 87, 31.03.2017, p. 148-151	07-06-16	
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Art. 57 (3), () Art. 57 (12)	RTS	DDG.C.3	Delegated Regulation (EU)2017/591 on the application of position limits to commodity derivatives	C(2016) 4362	OJ L 87, 31.03.2017, p. 479-491	01-12-16	
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Art. 2 (4)	RTS	DDG.C.3	Delegated Regulation (EU)2017/592 on the criteria to establish when an activity is considered to be ancillary to the main business	C(2016) 7643	OJ L 87, 31.03.2017, p. 492-499	01-12-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Sec. 3 Art. 32 (2), () Art. 52 (2)	RTS	DDG.C.3	Delegated Regulation (EU)2017/569 on the suspension and removal of financial instruments from trading	C(2016) 3014	OJ L 87, 31.03.2017, p. 122-123	24-05-16	
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Art. 51 (6)	RTS	DDG.C.3	Delegated Regulation (EU)2017/568 on the admission of financial instruments to trading on regulated markets	C(2016) 3017	OJ L 87, 31.03.2017, p. 117-121	24-05-16	
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Sec. 1 Art. 61 (4), () Sec. 2 Art. 64 (6), () Sec. 2 Art. 64 (8), () Sec. 3 Art. 65 (6), () Sec. 3 Art. 65 (8), () Sec. 4 Art. 66 (5)	RTS	DDG.C.3	Delegated Regulation (EU)2017/571 on the authorisation, organisational requirements and the publication of transactions for data reporting services providers	C(2016) 3201	OJ L 87, 31.03.2017, p. 126-141	02-06-16	
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	Art. 48(12)e	RTS	DDG.C.3	Delegated Regulation (EU)2017/570 on the determination of a material market in terms of liquidity in relation to notifications of a temporary halt in trading	C(2016) 3020	OJ L 87, 31.03.2017, p. 124-125	26-05-16	
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Art. 49 (3), () Art. 49 (4)	RTS	DDG.C.3	Delegated Regulation (EU)2017/588 on the tick size regime for shares, depositary receipts and exchange-traded funds	C(2016)4389	OJ L 87, 31.03.2017, p. 411-416	14-07-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	Art. 48(12)d	RTS	DDG.C.3	Delegated Regulation (EU)2017/573 on requirements to ensure fair and non-discriminatory co-location services and fee structures	C(2016)3266	OJ L 87, 31.03.2017, p. 145-147	06-06-16	
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	Art. 17(7)a) to c), Art. 48(12)a, Art. 48(12)f	RTS	DDG.C.3	Delegated Regulation (EU)2017/578 specifying the requirements on market making agreements and schemes	C(2016)3523	OJ L 87, 31.03.2017, p. 183-188	13-06-16	
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	Art. 48(12)a, Art. 48(12)c, Art. 48(12)g	RTS	DDG.C.3	Delegated Regulation (EU)2017/584 specifying organisational requirements of trading venues	C(2016)4387	OJ L 87, 31.03.2017, p. 350-367	14-07-16	
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Art. 84 (4)	ITS	DDG.C.3	Implementing Regulation (EU)2017/981 on standard forms, templates and procedures for the consultation of other competent authorities prior to granting an authorisation in accordance with Directive 2014/65/EU	C(2017)3790	OJ L 148, 10.06.2017, p. 16-23	07-06-17	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Art. 80 (4), () Art. 81 (4)	ITS	DDG.C.3	Implementing Regulation (EU) 2017/980 on standard forms, templates and procedures for cooperation in supervisory activities, for on-site verifications, and investigations and exchange of information between competent authorities in accordance with Directive 2014/65/EU	C(2017)3789	OJ L 148, 10.06.2017, p. 3-15	07-06-17	
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Art. 79 (9)	ITS	DDG.C.3	Implementing Regulation (EU) 2017/988 on standard forms, templates and procedures for cooperation arrangements in respect of a trading venue whose operations are of substantial importance in a host Member State	C(2017)3665	OJ L 149, 13.06.2017, p. 3-18	06-06-17	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Art. 71 (7)	ITS	DDG.C.3	Implementing Regulation (EU)2017/1111 on procedures and forms for submitting information on sanctions and measures	C(2017)4219	OJ L 162, 23.06.2017, p. 14-21	22-06-17	
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Art. 7 (5)	ITS	DDG.C.3	Implementing Regulation (EU) 2017/1945 on notifications by and to applicant and authorised investment firms	C(2017)4055	OJ L 276, 26.10.2017, p. 22-31	19-06-17	
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Sec. 1 Art. 61 (5)	ITS	DDG.C.3	Implementing Regulation (EU)2017/1110 on the standard forms, templates and procedures for the authorisation of data reporting services providers and related notifications	C(2017)4217	OJ L 162, 23.06.2017, p. 3-13	22-06-17	
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Art. 58 (7)	ITS	DDG.C.3	Implementing Regulation (EU)2017/953 on the format and the timing of position reports by investment firms and market operators of trading venues pursuant to Directive 2014/65/EU	C(2017)3671	OJ L 144, 07.06.2017, p. 12-13	06-06-17	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union

Overview/Planning Level 2 legislative measures in the area of financial services

Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Art. 58 (5)	ITS	DDG.C.3	Implementing Regulation (EU)2017/1093 on the format of position reports by investment firms and market operators	C(2017)4141	OJ L 158, 21.06.2017, p. 16-26	20-06-17	
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Art. 34 (9), () Art. 35 (12)	ITS	DDG.C.3	Implementing Regulation (EU) 2017/2382 on standard forms, templates and procedures for the transmission of information	C(2017) 8571	OJ L 340, 20.12.2017, p. 6-31	14-12-17	
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Sec. 3 Art. 32 (3), () Art. 52 (3)	ITS	DDG.C.3	Implementing Regulation (EU)2017/1005 on the format and timing of the communications and the publication of the suspension and removal of financial instruments pursuant to Directive 2014/65/EU	C(2017)4055	OJ L 153, 16.06.2017, p. 1-8	15-06-17	
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Art. 18 (11)	ITS	DDG.C.3	Implementing Regulation (EU)2016/824 on the content and format of the description of the functioning of multilateral trading facilities and organised trading facilities and the notification to ESMA	C(2016) 3019	OJ L 137, 26.05.2016, p. 10-16	25-05-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
 Overview/Planning Level 2 legislative measures in the area of financial services
 Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Art. 4 (2), () Art. 16 (12), () Sec. 1 Art. 23 (4), () Sec. 2 Art. 24 (13), () Sec. 2 Art. 25 (8), () Sec. 2 Art. 27 (9), () Sec. 2 Art. 28 (3), () Sec. 2 Art. 30 (5), () Sec. 3 Art. 31 (4), () Sec. 3 Art. 32 (4), () Sec. 4 Art. 33 (8), () Art. 52 (4), () Art. 54 (4), () Art. 58 (6), () Sec. 2 Art. 64 (7), () Sec. 3 Art. 65 (7), () Art. 79 (8)	DA	DDG.C.3	Delegated Regulation (EU) 2017/565 supplementing Directive 2014/65/EU on organisational requirements and operating conditions for investment firms and defined terms	C(2016) 2398	OJ L 87, 31.03.2017, p. 1-83	25-04-16	
DIR	2014/65/EU	Directive 2014/65 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (MiFID 2 - recast)	() Art. 17 (7)	RTS	DDG.C.3	Delegated Regulation (EU) 2017/589 specifying the organisational requirements of investment firms engaged in algorithmic trading	C(2016)4478	OJ L 87, 31.03.2017, p. 417-448	19-07-16	

European Commission - Directorate General for Financial Stability, Financial Services and Capital Markets Union
Overview/Planning Level 2 legislative measures in the area of financial services
Date: 02-08-2019

Level 1 Leg. Act - Type	Level 1 Leg. Act - Reference	Level 1 Legislative Act	Reference Article	Type (*) DA, IA, RTS, ITS, RPS/PRAC	Lead Unit	Level 2 Legislative measure in preparation or adoption	C Number	OJ Reference	Adoption Date	Planned MM/YY Adoption
DIR	2014/92/EU	Directive 2014/92/EU on the comparability of fees related to payment accounts, payment account switching and access to payment accounts with basic features (PAD - Payments Account Directive)	() Art. 5 (4)	ITS	B.3	Implementing Regulation (EU) 2018/33 on the standardised presentation format of the statement of fees and its common symbol	C(2017)6453	OJ L 6, 11.01.2018, p. 26-36	28-09-17	
DIR	2014/92/EU	Directive 2014/92/EU on the comparability of fees related to payment accounts, payment account switching and access to payment accounts with basic features (PAD - Payments Account Directive)	() Art. 4 (6)	ITS	B.3	Implementing Regulation (EU) 2018/34 on the standardised presentation format of the fee information document and its common symbol	C(2017)6456	OJ L 6, 11.01.2018, p. 37-44	28-09-17	
DIR	2014/92/EU	Directive 2014/92/EU on the comparability of fees related to payment accounts, payment account switching and access to payment accounts with basic features (PAD - Payments Account Directive)	() Art. 3 (4)	RTS	B.3	Delegated Regulation (EU) 2018/32 on the Union standardised terminology for most representative services linked to a payment account	C(2017)6451	OJ L 6, 11.01.2018, p. 3-25	28-09-17	

(*) DA = Delegated Act pursuant to Art. 290 TFEU; IA = Implementing Act pursuant to Art. 291 TFEU; RTS = Regulatory Technical Standard pursuant to Articles 10-14 of the ESAs' Regulations; ITS = Implementing Technical Standard pursuant to Article 15 of the ESAs' Regulations; RPS (= PRAC) measures =regulatory procedure with scrutiny pursuant to Art. 5a of Council decision 1999/468/EC)